

HORSE RIDING CLUBS ASSOCIATION OF VICTORIA INC.

(A0002667H)

EVENT RULES

Effective: 1st November 1995

Includes all amendments up to and including those effective from

1st September 2021

Most recent amendments shown in **red**



HRC AV

HORSE RIDING CLUBS ASSOCIATION OF VICTORIA INC.

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Section 2. Event Rules

General Information

1. DEFINITIONS

- 1.1. The term “**Event**” throughout these Rules refers to the complete meeting or show under the control of an Organising Committee.
- 1.2. The term “**Competition**” refers to each individual Class/Section for which places and prizes are awarded.
- 1.3. The term “**Organising Committee**” refers to an organisation, group, society or body, which is responsible for the management of an Event.
- 1.4. The term “**Elimination**” unless otherwise specified in the Rules or in the conditions for the Competitions, means that the Competitor must gain the permission of the Technical Delegate or Organising Committee to continue in the current Competition.
- 1.5. The term “**Disqualify**” means that a Competitor or horse may take no further part in the Competition (when any prize won in the Competition will be forfeited). Disqualification may be extended to cover any other Competitions at the Event (including the forfeiture of any prize and/or sash won at that Event and remaining entry fees).
- 1.6. The term “**Official**” refers to any Event or Competition approved by the HRCVA and run according to the HRCVA Rules and Regulations.
- 1.7. The term “**Bell**” includes any device used to signal to a Competitor.
- 1.8. The term “**Warm Up Arena**” and “**Training Area**” refer to the entire area covered by the park, centre, oval, showground or similar area where an Event is advertised as being held.

2. ACCEPTANCE OF THE RULES

- 2.1. The making of an entry in any Event run under the Rules of the HRCVA constitutes acceptance of those Rules. The person responsible for compliance with the Rules shall be the Competitor (whether senior or junior). Ignorance is no excuse.
- 2.2. Event Officials who witness the breaking of HRCVA Rules do not have to lodge a formal protest. The Official must report the incident to the Ground Jury for action. At Horse Trials and major events, Officials have the option to refer the matter to the HRCVA Representative or Technical Delegate.
- 2.3. Renumbered

2.4. **ELIMINATION ON SAFETY OR WELFARE GROUNDS**

Refer to Event rules 67 - 70

3. **OFFICIAL EVENTS AND COMPETITIONS**

3.1. All Official Events and/or Official Competitions are conducted under HRCVA Rules.

3.1.1. Programs for events and competitions must be approved by the HRCVA. See rule 14.

3.1.2. Neither the Organising Committee of any Event to which these Rules apply nor the HRCVA accepts any liability for any accident, damage, injury, illness or loss to horses, owners, riders, ground, spectators or any other person or property whatsoever. .

3.2. It is mandatory at Official Events to offer all Levels of Competition.

3.2.1. Clubs running Official Dressage Events may nominate to:

- Offer one Dressage Test per Level which enables Competitors to compete at their Assessed Level and one Level higher. It is mandatory to offer two Advanced Tests, the harder of which is open to Advanced Combinations only, if this option is used, or
- Offer two different Dressage Tests in each Level, which enables Competitors to ride two Tests at their Assessed Level plus two tests one level higher if conditions of entry permit

Combinations MUST enter at their assessed level and are limited to riding a maximum of four dressage tests, in any discipline on one day.

3.2.2. Clubs running Official Showjumping Events may merge the Advanced and Level 1 Competitions if either section has less than 4 entries. In the merged competition the Advanced Competitors compete in the Level 1 Section but they must jump courses set to Advanced dimensions

3.2.3. Clubs running Official Combined Training/Dressage only at the same Event may offer two Tests at the same Level or one Test which is used for Combined Training and Dressage only. If the second option is used the Club may only charge \$10 for Dressage only entry to Combinations who are assessed the same Level for Combined Training and Dressage.

- 3.2.4. To gain Official status, an approved HRC AV Course Accreditor must accredit all cross-country courses at Horse Trials Events.
- 3.2.5. A Technical Delegate will be appointed by the HRC AV for all horse trials events. The Technical Delegate's role is to ensure all issues of risk management and procedure are conducted correctly on the day of competition, including to be part of the Ground Jury and to provide riders with guidance as to the rules if requested.
- 3.3. To gain Official status, organisers of Show Jumping, Combined Training and Horse Trials events must either appoint an official HRC AV Course Designer and a minimum of one official HRC AV Show Jumping Judge or be granted Jumping Sub Committee approval to use named unofficial Course Designers and Judges. Applications for approval are to be submitted on Event Rules Appendix 12.
- 3.4. If there are insufficient entries (less than 5) for a Section, the Organising Committee may cancel the Section provided all entrants to that Section are notified of the cancellation.
- 3.5. Deleted. Refer to amended rule 18.

4. WHO MAY CONDUCT OFFICIAL EVENTS

- 4.1. Any Club, which is a Financial Member of the HRC AV, may hold an Official Event.

5. CONDITIONS FOR ENTRY TO OFFICIAL EVENTS

- 5.1. Competitors must enter events using the official entry form as per appendix 6 for individual events or appendix 7 for team events. **When entries are submitted on line, all information required by appendices 6 and/or 7 must be provided.**
- 5.2. Competitors taking part in official events must be financial members of a Club affiliated with the HRC AV and the combination must be assessed under the HRC AV Level Assessment rules. **Proof of the competitor's current membership and assessed level is to be determined by**
- 5.2.1. **Presentation of a valid membership and performance card/s at the event prior to riding or**
- 5.2.2. **Pre-event validation of membership and assessment status where an event is advertised as a 'No Card' event.**
- 5.2.3. **Where individual circumstances warrant, a member's on line record can be used to prove membership and assessment status on the day of an event**

- 5.3. For Combined Training and Horse Trials events, **the combination's dressage level is to be determined using the abovementioned options.**

If the entered combination is not assessed for dressage the competitor will be required to ride HC. If the combination's dressage level changes prior to competing, the competitor must advise the organisers of the change prior to the day of the event.

- 5.4. If a Combination's Level Assessment is altered after entering an Event, but prior to the day of the Event, the Competitor must contact the Event Secretary who has the option to:-

- a) Accommodate them in the new Level,
- b) Allow them to ride Hors Concours at the old Level, or
- c) Refund their entry fee.

- 5.5. Competitors must compete at their assessed level except where rules allow otherwise.

- 5.6. **In jumping disciplines, a Competitor may enter the level below their Assessed Level by accepting penalty points as set out in the rules for the discipline. Competitors are required to notify organisers of their decision to compete at the lower level at the time of entry. Organisers are required to accept and treat equally entries from riders wishing to compete at the lower level in jumping. Competitors competing at the lower level will not be awarded performance points but will be eligible for all other prizes.**

6. RIDING IN ARENAS OR OVER CROSS COUNTRY COURSE

- 6.1. Competitors may not, under penalty of elimination, ride on, over or near any part of a Cross-Country course, nor enter the Dressage or Showjumping arenas, except when actually competing or where permitted by SJ rules 5.13 and 5.14. This rule applies also when competition arenas are erected on the days preceding an event.

- 6.2. An outline of the Cross-Country obstacles will not be published in advance. If Competitors ride over any of the obstacles on the Cross-Country course at any level within 29 days of the Event being held (not including the day of the event), they must compete hors concours.

- 6.3. No Competitor may compete, other than hors concours, on a horse which has had the opportunity to practise over any of the Cross-Country Course obstacles at any level within 29 days of the Event (not including the day of the event).

7. ENTRY OF HORSES

- 7.1. A Competitor may withdraw any or all of the horses that he/she has entered, but may only substitute or add another horse with the permission of the Event Secretary.
- 7.2. No horse under the age of 4 years is permitted to jump at Official Events. Showing classes excepted.
- 7.3. No horse may take part with more than one Competitor at the same Event.
- 7.4. Stallions and rigs cannot be excluded from Official Events except in Showing where the over-riding body of a Show does not allow stallions.
 - 7.4.1. All stallions at Events must be contained within a float, truck, stable or steel yard or securely double tied by a headstall and pull back collar and/or hobbles whilst not being ridden or handled. Portable electric tape yards are not to be used to contain a stallion.
 - 7.4.2. Stallions are to wear official discs at all times whilst in a public venue – these discs are to be worn on both sides of head collars, bridles or saddle blankets to identify to others that the horse is, in fact, a stallion. Floats/trucks that transport stallions must display the official sticker notifying that there is a stallion on board.
 - 7.4.3. No stallions shall be moved around a venue without a bit in his mouth. Such bit can be either a standard bit attached to a bridle or rearing bit.
 - 7.4.4. Riders and handlers of stallions should be over 17 years of age. .
 - 7.4.5. It is the responsibility of stallion owners and/or riders to ensure that all possible safety precautions are taken
 - 7.4.6. Failure to abide by these rules will result in elimination of the horse from the event and forfeiture of any prizes or points. The owner/rider will be required to remove said horse from the venue as soon as possible.

8. HORS CONCOURS

- 8.1. The definition of Hors Concours is “not competing for a prize”. Therefore, the Competitor will not qualify for any ribbons, prize or prize money, nor will points be issued on the Performance Card.
- 8.2. For presentation of prizes and performance points, ‘HC’ competitors are ignored. If a ‘HC’ competitor places, the placing is ignored and all placing competitors below the ‘HC’ competitor move up the placings.
- 8.3. Competitors wishing to compete HC must notify the Event Secretary at the time of entry. All riders competing HC must be members of the association and comply in every way with the rules governing official competition except as provided in this rule.
- 8.4. Competitors may compete HC at the discretion of the Organising Committee in the following circumstances:
 - 8.4.1. Ineligible to compete under the presiding judge
 - 8.4.2. Failure to present valid performance or membership cards. Note: members failing to present a membership card showing proof of current membership will be required to purchase Day Membership and sign a Disclaimer Statement (as per General Rule 15) before being permitted to compete HC.
 - 8.4.3. Unforeseen or exceptional circumstances where a decision is made in a sporting spirit whilst adhering as closely as possible to the intention of the rules.
- 8.5. Organisers are required to accept and treat equally the entries of competitors wishing to compete HC in a lower than assessed level (or at their assessed level in the event of being assessed as eligible to compete HC). Competitors riding HC at a lower level may not subsequently compete in an official capacity at a higher level at the same event (Dressage competitions excepted). In Show Jumping, competitors riding HC at a lower level may subsequently also ride HC at their assessed level at the same event, subject to OC agreement. However, they can only ride the same total number of rounds as those riders competing in an official capacity.
- 8.6. Members may not compete HC higher than their assessed level in any discipline which involves jumping
- 8.7. Unassessed combinations may not compete HC in any discipline.

9. DRESS

- 9.1. Registered Club colours must be worn at official events. Club colours must be worn on the rider's upper body with the Club name or logo displayed on the upper body garment and/or saddle blanket. The requirement to display the Club name or logo is waived for Led classes or when a body protector is worn.

All Clubs whose members wish to compete at Official events must register two main colours. The main colours are to be used as the principal colour/s of the uniform and may be interchanged. At the discretion of the Club, other colours may be used in trimming, logos or similar embellishment.

Subject to rule 9.2, a neutral or light coloured shirt or saddle blanket (not registered as a Club colour) may be worn as part of the uniform. In hot weather, the Ground Jury may allow competitors to remove outer layers of clothing and ride in shirt sleeves. If a riding vest is worn, the length of the vest must not extend past the buttocks. Shirt/skivvies worn under a vest must be of a contrasting colour. Hacking or riding jackets or any items of clothing designed to replicate a hacking/riding jacket are not permitted to be worn at Official events.

- 9.2. It is every Clubs' responsibility to ensure that their members present themselves as neatly and professionally as possible. It is recommended that each Club have specific rules as to what they will allow their members to wear when representing their Club at Official events. Riders should be neatly attired in Club colours for award presentations

- 9.3. An approved equestrian helmet with a fixed harness **MUST** be worn at all times whilst mounted. The required standards for helmets at HRC AV competitions will be:

- Current Australian standard AS/NZS 3838 (2006 onwards) provided they are SAI Global marked.
- New Australian standard ARB HS 2012 provided they are SAI Global marked.
- Current American standard ASTM F1163 (2004a or 04a onwards) provided they are SEI marked.
- Current American standard SNELL E2001.
- Current British standard PAS 015 (1998 or 2011) provided they are BSI Kitemarked.
- Interim European Standard VG1 (01.040: 2014-12) with or without BSI Kitemark.
- The EN 1384 (Europe) standard for helmets manufactured prior to 2016 – not approved after 1st January 2021.

Long or short riding boots MUST be worn at all times whilst mounted. Heavily rippled or blocked soles are not permitted. Enclosed footwear with ripple soles, including running shoes, may be worn in Led classes.

9.3.1. Competitors in jumping events must wear a helmet tagged to confirm compliance with current HRC AV or Equestrian Victoria standards. Helmet tagging may be undertaken by nominated HRC AV officials. Helmets tagged under the Equestrian Victoria system are permitted. :

- 9.4. In bad weather, the Judge or Ground Jury may allow wearing a waterproof coat.
- 9.5. At the discretion of the Ground Jury, Competitors who are improperly dressed may be refused permission to take part in the Competition
- 9.6. Medical armbands are compulsory for all jumping phases at Official HRC AV Events. Failure to wear a medical armband during a jumping phase will result in elimination from the Event. Armbands must be worn on the rider's upper arm with the rider's name clearly visible to gear check stewards and medical personnel. Armbands cannot be worn under clothing or in riding boots. Members are permitted to wear an EFA/PCAV armband. Note: medical armbands are to be worn in Show classes where jumping is required .
- 9.7. Toe Stoppers are permitted in all disciplines and in all levels.
- 9.8. Clubs may include sponsor's logos on Club uniforms subject to HRC AV approval. Sponsored individuals may display sponsor's logos on the saddle blanket provided the logo is not greater than 10cm high and/or 20cm wide.
- 9.9. Helmet cameras may be used with the permission of the HRC AV. Documented proof of such permission must be shown at the request of event officials. The rider assumes responsibility for loss, injury or consequences arising from use of the camera.
- 9.10. Body protectors may be worn over the rider's uniform in all disciplines. ~~The Club logo must be visible on the saddle blanket or upper body garment of the rider.~~

10. RIDER IDENTIFICATION

- 10.1. At all Official Events, Competitors must be identified by a number. Numbers are to be displayed on both sides of the horse except in Showing where a breast plate number may be used. All competitors must supply their own numbers.
- 10.2. At Horse Trials Events Competitors must wear numbers on their front and on their back for the Cross-country phase. Competitors are required to provide their own Cross Country number holders. The Organising Committee is to provide printed numbers for insertion in the number

REV: Sept 08, Jan 2009, July 09, Jan 2010, July 2010, Jan 2011, Jan 2012, Nov 2012, July 2016. Jan 2017, July 2018,
July 2020, Sept 2021

holder. The type of identification for the Dressage and Showjumping phases of Horse Trials Events shall be determined by the Organising Committee..

11. WEIGHT

- 11.1. There is no weight limit for Competitors in any HRC AV discipline

12. SADDLERY

- 12.1. Only saddlery and equipment as specified in the discipline specific Rule Book is permitted at Official Events.

13. GEAR STEWARD

- 13.1. A Gear Steward/s appointed by the Organising Committee shall check that the saddlery and equipment of each Competitor complies with the Rules before the Competitor is permitted into the Competition area. The Steward shall consult the Ground Jury if doubt exists regarding any item of saddlery or equipment.
- 13.2. Competitors must report mounted to the Gear Steward/s for gear inspection at the time stipulated in the Conditions of Entry. Failure to present and pass the Gear Inspection will result in elimination.
- 13.3. Where any Event is run over 2 or more days a gear check must be performed on each day. The Gear Steward/s should be provided with a copy of "Gear Checkers Guidelines for Saddlery and Equipment" contained in the appropriate Rule Book Appendices.

14. EVENT APPROVAL

- 14.1. All official events must be approved by the HRC AV. A copy of the event program accompanied by an administrative fee of \$35 must be received by the HRC AV for approval at least 6 weeks prior to the closing date for entries. Approved programs will be published in one issue of Chaff Chat (additional advertising available at current rates) and on the HRC AV website calendar.
- 14.2. Clubs should notify the HRC AV of proposed event dates for inclusion in the Calendar as soon as practicable. If it is deemed that a proposed event is likely to seriously affect the viability of a currently listed event, the HRC AV will address the matter with the relevant host club/s and has the option to withhold event approval.
- 14.3. Closing date of entries must be not more than six (6) weeks and not less than one (1) week before the date of the Event.
- 14.4. Details required on Programs are found in Event Rules Appendix 1. All Clubs should use this Checklist prior to the submission of the Program to the HRC AV. Refer to the Top Team Trophy section of the Event rules for specific Top Team Trophy program requirements.

15. RESERVATION OF RIGHT

- 15.1. The Organising Committee may reserve the right to alter or cancel any Event or ballot in case of excessive or insufficient entries.

16. SCRATCHINGS

- 16.1. Scratchings must be made:
- a) in accordance with the Official Program, or
 - b) by 5.00 pm the day prior to the Event, or
 - c) on the day of the Event to the Event Secretary by no later than one (1) hour prior to the Competitor's start time.
- 16.2. Competitors failing to scratch in accordance with Event Rule 16.1 may be subject to disciplinary action.
- 16.3. Refunds will not be paid unless a Veterinary and/or Medical Certificate is lodged with the Event Secretary. Such Certificate will not be recognised for the purpose of refunds if lodged more than 14 days after the completion of the Event. No more than 25% of the entry fee may be retained as an administration fee after close of entries.

17. DIVIDING OF COMPETITIONS

- 17.1. When entries for a Competition reach thirty (30), consideration should be given to dividing the Competition. When entries exceed thirty-two (32), the Competition MUST be divided..
- 17.2. Event Rule 17.1 is not applicable to Showjumping Competitions except for "Jumping Equitation" Competitions and Top Team Trophy Showjumping Competitions.

18. ORDER OF DRAW

- 18.1. A copy of the competition draw is to be posted on-line. The Event Program shall provide the web address for the draw and include a reminder to competitors to check for updates prior to the event. No changes to riding times may be made within 48 hours of the event unless the affected rider/s have agreed to the change.
- 18.2. The order of the draw will be strictly adhered to except in the event of scratchings. Competitors may be requested to compete earlier or later than the allotted time at the request of the Organising Committee. If a Competitor declines the request, Event Rule 18.3 is not applicable.
- 18.3. A Competitor risks elimination (by any Event Official) if not prepared to ride at the allotted time.
- 18.4. At events which include Dressage, the Organizing Committee is required to provide each officiating Dressage Judge with a copy of the competition draw no less than 5 days (where possible) prior to the competition to ensure compliance with Dressage rules 4.8 and 4.

19. HOST CLUB RESPONSIBILITIES

- 19.1. At all HRC AV Official competitions the Event Secretary will sign and stamp (using the club's stamp), all TTT Qualification Logs presented by competitors at the completion of the Event. The signing of the TTT qualification Log confirms that the competitor has completed the Event and can be used by the competitor in the qualifying process for TTT Events. Events ridden Hors Concours will not count toward qualification for TTT Events, however elimination will still allow qualification. Note: An event comprising of multiple competitions in the same discipline will only be counted as one qualifying event.
- 19.2. The Event Organiser must forward all results to the HRC AV within seven (7) working days of the Event. The Competition Results Forms (refer Event Rules Appendices) must be used. Any Club that does not submit results within 7 working days risks a \$100 fine. Further, any Club that has not submitted results within one (1) calendar month of the Event risks loss of Official status for a period of 13 months from the date of the Event. An example of a completed Result Sheet is shown at Appendix 4.

20. ARENAS

- 20.1. If star pickets/steel droppers or wooden stakes are used to set up arena fencing, then the end of the stakes must be capped in such a way as to prevent injury. Any such capping must be securely fastened to prevent it being dislodged. Specific requirements apply to Dressage arena construction. Refer to Dressage Rule 8.4d).
- 20.2. When the condition of an arena deteriorates during the course of the competition and the safety of horse and rider may be at risk, the presiding judge, in conjunction with the Ground Jury, may elect to transfer or alter the existing arena to minimize that risk. If the arena is moved, the class may be split at the discretion of the organising committee.

21. MEDICAL FACILITIES

- 21.1. The Organising Committee must appoint a first aid organisation or at least one person holding minimum HLTAID003 first aid qualifications (or other appropriately qualified medical personnel) to be on site at all events. Organising Committees may only charge a maximum of \$5.00 levy per competitor per day to cover the cost of a vet or medical staff. This levy MUST not be charged if services are provided voluntarily.
- 21.2. At all Official Horse Trials Events a qualified equine vet must be present during the cross country phase.

- 21.3. Organising Committees may charge a maximum of \$5.00 per Rider per day medical levy to cover vet/medical staff costs.

22. TEMPORARY YARDING OF HORSES

- 22.1. Temporary yards should be purpose built to confine large animals and be constructed of alloy, steel or galvanized pipe with uprights anchored to the ground.
- 22.2. Yards intended for loose horses must provide a minimum area of 3m x 3m (9sqm) per horse and up to a maximum of 50sqm. No more than 3 horses may be contained in one yard.
- 22.3. Temporary yards must be located within a fully fenced enclosure
- 22.4. Organising Committee is required to inspect temporary yarding as part of their risk management processes
- 22.5. Horses confined in temporary yards must be inspected regularly.
- 22.6. Event policy re use of temporary yards must be stated on the program.
- 22.7. The use of electric tape yards should be avoided wherever possible. Where the OC has determined that the use of electric tape yards will be permitted the following rules apply and must be stated on the program.
- a) Yards must be constructed of at least two strands of high visibility electric tape (not string/wire)
 - b) The minimum height of the top strand is 1m
 - c) The tape must be electrified whilst being used to confine horse with a minimum voltage of 2000v
 - d) Yards to have four rigid corner supports with free standing corner supports firmly in the ground
 - e) Corner supports such as star posts to be securely capped
 - f) Yards must not be constructed in such a way that sides are shared, eg: in a row
 - g) Tape must be kept taut at all times

Performance Points

23. OFFICIAL PERFORMANCE POINTS SYSTEM

- 23.1. Placing Competitors may earn points at **OFFICIAL EVENTS ONLY**. These points are used to progress through the Levels.
- 23.2. Each Level has a set number of minimum and maximum points allowable (see table below). When a Combination is assessed by a Level Assessor, the appropriate “points assumed” for that Level are allotted.
- 23.3. Riders must be aware of the number of points accumulating and when the maximum points for a Level are attained; they must begin competing at the Level above at their next competition.. **In Jumping disciplines and 3PE, the rider may choose to continue to compete at their previous level in accordance with Event rule 5.3.**
- 23.4. **When a Combination progresses to a higher level through accumulation of performance points in any discipline (Navigation Rides excepted), any additional points earned over the minimum points for the level are removed from their card. The rider must write on the performance card ‘Base points applied as per Event rule 23.4’ and enter the minimum points for the new level as per the tables below.**
- 23.5. To enable Level Assessors to place a ‘borderline’ combination in an appropriate Level, the Assessor has the option of allotting the higher of the “points assumed”. This enables a combination to have an opportunity to compete at a lower Level, however, if their performance warrants, fewer points are required to attain the next Level.
- 23.6. If a Combination points up or is re-assessed to a higher Dressage level, then that Combination’s Showing level DOES NOT automatically upgrade. The Combination may, however, be upgraded by an Assessor.
- 23.7. Combinations competing in Navigation Rides are assessed for safety and competency only. Members are required to determine their level as per Navigation Ride rule 10 and move up through the levels as the rider accumulates performance points.
- 23.8. 3PE combinations do not require assessment. Combinations commence at their current Dressage level and base points for that level are entered on their 3PE performance card. Combinations can proceed through 3PE levels by accumulating 3PE performance points or moving up a level in Dressage. Refer to rule 24.12 for example. .

Table 1 – Points per Level for Combined Training & Horse Trials

Level	Base points per level <small>(points assumed at Assessment)</small>	Half points per level <small>(May be allocated at Assessment)</small>	Points required to reach next level
LEVEL 5	Minus 25	Minus 12.5	25 or 12.5
LEVEL 4	0	12.5	25 or 12.5
LEVEL 3	25	35	20 or 10
LEVEL 2	75	60	30 or 15
LEVEL 1	75		

Table 2 – Points per level for Dressage

Level	Base points per level <small>(points assumed at Assessment)</small>	Half points per level <small>(May be allocated at Assessment)</small>	Points required to reach next level
LEVEL 5	Minus 25	Minus 12.5	25 or 12.5
LEVEL 4	0	12.5	25 or 12.5
LEVEL 3	25	35	20 or 10
LEVEL 2	75	60	30 or 15
LEVEL 1	75	112.5	75 or 37.5
ADVANCED	150		

Table 3 – Points per level for Showing

Level	Base points per level (points assumed at Assessment)	Half points per level (May be allocated at Assessment)	Points required to reach next level
LEVEL 5	0	25	50 or 25
LEVEL 4	50	87.5	75 or 37.5
LEVEL 3	125	162.5	75 or 37.5
LEVEL 2	200	237.5	75 or 37.5
LEVEL 1	275	387.5	225 or 112.5
ADVANCED	500		

Table 4 – Points per level for Show Jumping

Level	Base points per level (points assumed at Assessment)	Half points per level (May be allocated at Assessment)	Points required to reach next level
LEVEL 5	Minus 25	Minus 12.5	25 or 12.5
LEVEL 4	0	12.5	25 or 12.5
LEVEL 3	25	35	20 or 10
LEVEL 2	75	60	30 or 15
LEVEL 1	75	112.5	75 or 37.5
ADVANCED	150		

Table 5 – Points per level for Navigation Rides (from 1/9/2014)

Points on rider's card	Level
0 - 9	LEVEL 5
10 - 24	LEVEL 4
25 - 44	LEVEL 3
45 - 74	LEVEL 2
75 and over	LEVEL 1

Table 6 – Points per level for 3PE (from 1/9/2020)

3PE combinations to commence at their assessed level for Dressage. Refer to rule 24.12 for example of performance card points allocation

Points on rider's card	Level
Minus 25 – minus 1	LEVEL 5
0-24	LEVEL 4
25-44	LEVEL 3
45-74	LEVEL 2
75-149	LEVEL 1
150+	ADVANCED

EXAMPLE If a Combination is assessed as Level 3 in Showjumping, Combined Training and Horse Trials, Level 4 in Dressage, they would be allocated 25 points each in Showjumping, Combined Training, Horse Trials and 10 points for Dressage. Any placing points at Official Events are then recorded and added to the allocated points on the relevant Performance Card.

Below is an example of how points are recorded on a Dressage Performance Card at assessment and upon placing at Events:

Club	Level	Date	Competition	Place	Points	Total Points
R. Lee <i>R. Lee</i>	3	2/1/20	Points Assumed	LA	25.0	25.0
Mt District	3	15/3/20	Dressage	1st	4.0	29.0
Kilmore	2	21/4/20	Dressage	=3rd	1.5	30.5
Seymour	3	20/5/20	Dressage	4th	1.0	31.5
Doongala	3	27/5/20	Dressage	1st	4.0	35.5
Peninsula	3	15/6/20	Dressage	=1st	3.5	39.0
Geelong	3	21/6/20	Dressage	1st	4.0	43.0
Wandin	3	14/7/20	Dressage	2nd	3.0	46.0
14/7/20	2	14/7/20	Reverted to base points			45.0
Mon/Clem	*2*	18/7/92	Dressage	4th	1.0	46.0

24. DISTRIBUTION OF PERFORMANCE POINTS

- 24.1. Points gained at Official Events are to be written on the Performance Card/s on the day of the Competition by the Organising Committee. Competitors must ensure adherence to this Rule. **Where an event is a designated 'No Cards' event, the organisers are required to notify competitors in writing of performance points earned within 24 hours of the competition. Competitors are responsible for ensuring that performance points are entered on their card/s**
- 24.1.1. Clubs who host a Show or Ring must nominate a Card/Result Secretary who is responsible for checking cards, entering points for eligible classes and sending results to the HRC AV. The person's name should be printed on the program.
- 24.1.2. Should a commenced event be cancelled prior to the completion of all classes, performance points earned in completed classes shall be retained.
- 24.2. All cards for Competitors placing 11th up to 32nd should be available for collection thirty (30) minutes after the posting of final results. Organisers may release cards earlier, if a competitor is well out of the placings.
- 24.3. A maximum of ten (10) points may be awarded in each Section (excludes Showing)
- 24.4. If four (4) or more Competitors compete in a Section, points shall only be awarded to the first four (4) placing Competitors who meet the performance criteria set out in Event Rules 24.6, 24.7 & 24.8. The points awarded reduce based on the number of Competitors in the Section should there be less than four (4) Competitors. Refer Table below.

Place	NUMBER OF COMPETITORS			
	1	2	3	4 or more
1 st	1	2	3	4
2 nd	-	1	2	3
3 rd	-	-	1	2
4 th	-	-	-	1

SHOWING ONLY	
Champion	2 pts
Reserve Champion	1 pt

- 24.5. Should there be equal placings, there must still only be a maximum of ten (10) points allocated (except for Showing, refer Event Rule 24.9.2). In the case of equal placings the awarding of points is averaged over the number of Competitors who share that placing. This method allows for a fair awarding of points. Refer Event Rule 24.12.

- 24.6. Competitors opting to compete at a lower level with penalties in Jumping disciplines are ineligible for performance points. Should such competitors displace an eligible competitor in the placings, the eligible competitor shall receive performance points in line with their placing.

Example

Member no.	Competitor name	Horse's competition name	Place	Pts awarded
0658	John Smith	Bailey Boy	1 st	4
68956	Rose Flanagan (with penalties)	Treasure	2 nd	–
33321	Norma Richards	My Red Mare	=3 rd	1.5
1121	Sue Miller	Greenvally Poser	=3 rd	1.5

24.7. SHOWJUMPING EVENTS

- 24.7.1. In Showjumping Events which have preliminary rounds/phases, competitors who fail to jump clear in the preliminary rounds/phases will be ineligible for performance points.

24.7.2. Reversion to base points – refer to Event Rule 23.4

- 24.7.3. Performance points are only awarded for **one class** at an event. The points earning class must be noted on the program.

- 24.7.4. The points earning class must have a first round which is not against the clock and a jump off against the clock where at least 50% of the fences are at maximum height for the level. The first round must have a time allowed and a time limit (eg: AM5, 2 Phase or AM7). If 2 Phase is used, the 2nd phase must comprise 6 obstacles, one of which may be a combination, and be judged according to SJ rule 55.5.2, 55.5.4 or 55.5.6

- 24.7.5. In one round classes, (eg: Table C), performance points are only awarded to combinations which complete the round, ie: not those combinations which retire or are eliminated.

24.8. HORSE TRIALS EVENTS

- 24.8.1. All placing Competitors MUST score less than sixty ~~(60)~~ 20 Cross-Country penalties to be eligible for points.

24.9. DRESSAGE EVENTS

- 24.9.1. All placing Competitors MUST attain 60% or greater to be awarded points.

For Dressage only, points may be gained in both the Competitor's assessed Level and in one Level above. Points gained in the Level above are added to the points for the Competitor's total points.

24.10. SHOWING EVENTS

- 24.10.1. Competitors are only eligible for points in the following Classes specified by Level, being Smartest on Parade, Ridden Exhibit, Rider, Mount Suitable for HRC AV and Champion and Reserve Champion for these Classes.

- 24.10.2. In the event of equal placings, points are NOT awarded as per Event Rule 24.5. Full points are awarded as if there were no equal placings.

- 24.10.3. No performance points are awarded for Novice classes.

24.11. COMBINED TRAINING EVENTS

- 24.11.1. All placing riders must score over 60% in the Dressage phase and less than 6 penalties in the show jumping phase to be eligible for performance points.

24.12. NAVIGATION RIDES

- 24.12.1. Only competitors in the official HRC AV section of a ride are eligible for performance points.

- 24.12.2. Both members of the placing pair are awarded full points.

24.13. EQUAL PLACINGS

24.13.1. For allocation of points for equal placings:

- d) Count the number of Competitors who are equal.
- e) In a descending numeric sequence miss the next placing(s) depending upon how many Competitors were equal.
- f) Add the points at the appropriate placings and then divide by the number of Competitors.

For Example:

2 Competitors equal 1st becomes

4 Points + 3 Points divided by 2 Competitors = 3.5 points each.

The next Competitor is placed 3rd and is awarded 2 points; the next Competitor placed 4th is awarded 1 point.

All 10 points have now been awarded.

If there were 2 Competitors instead of one placed 3rd then the points would be distributed as follows:

2 Competitors Placing 1st (This means there is no second place.)

2 Competitors Placing 3rd (This constitutes the first 4 riders eligible for points.)

2 Competitors equal 1st = 3.5 points each = 7 points

2 Competitors equal 3rd = 1.5 points each = 3 points

All ten points have now been awarded.

24.13.2. SHOWING ONLY – Full points are awarded for all equal placings to 4th place. The points are not added by the number of placings competitors and then divided.

**Further examples for the issuing of equal placing points
 (except Showing): :**

Example 1		Example 2		Example 3	
Placing	Points	Placing	Points	Placing	Points
1st	4.0	1 st	4.0	=1st	3.5
=2nd	2.5	2 nd	3.0	=1st	3.5
=2nd	2.5	=3 rd	1.5	3rd	2.0
=4th	0.5	=3 rd	1.5	=4th	0.5
=4th	0.5			=4th	0.5
Total Pts	10.0	Total Pts	10.0	Total Pts	10.0

Example of allocation of points at Assessment and**Showjumping Events:**

Club	Level	Date	Competition	Place	Points	Total Points
M. JONES <i>M. Jones</i>	4	2/1/92	Points Assumed	LA	10.0	10.0
Mt District	4	15/3/92	AM3	1st	4.0	14.0
Mt District	4	15/3/92	Top Score	=3rd	1.5	15.5
Seymour	4	20/5/92	AM7	4th	1.0	16.5
Seymour	4	20/5/92	AM3	1st	4.0	20.5
Whittlesea	4	27/5/92	Fault & Out	=1 st	3.5	24
Wandin	4	21/6/92	AM5	1st	4.0	28.0
Wandin	4	21/6/92	Top Score	2nd	3.0	31.0
21/6/92	Base points applied as per Event Rule 23.4					25.0
Mon/Clem	*3*	18/7/92	AM4	4th	1.0	26.0

Note: In the above example, the Competitor passed the 25 points required to move to the next Level at the Wandin after their first class. Even though upon placing in the AM5 the Competitor was above 25 points, they are permitted to compete at Level 4 in all Competitions entered at the Event. However, at their next Event they must be entered at Level 3 **unless they opt to compete at their previous level with penalty points as per Event rule 5.3.**

**Example of allocation of points at Assessment and
 Combined Training Events:**

Club	Level	Date	Competition	Place	Points	Total Points
F. BLACK <i>F. Black</i>	4	2/1/92	Points Assumed	LA	17.5	17.5
Mt District	4	15/3/92	CTD	1st	4.0	21.5
Peninsula	4	21/4/92	CTD	=3rd	1.5	23.0
Waminda	4	20/5/92	CTD	4th	1.0	24.0
Sth Gpsld	4	27/5/92	CTD	1st	4.0	28.0
Seymour	3	3/6/92	CTD	4th	1.0	29.0
Wandin	3	18/8/92	CTD	1st	4.0	33.0
Macclesfld	3	7/12/92	CTD	1st	4.0	37.0
J. PETERS <i>J. Peters</i>	2	15/1/93	Points Assumed	LA	45.0	45.0

Note: In the above example the Competitor was assessed on 2/1/92 with the higher “points assumed” for Level 4. The Competitor placed consistently and pointed himself up to Level 3 on 27/5/92. The Competitor was then assessed up to Level 2 on 15/1/93.

Prizes

25. DISTRIBUTION OF SASHES/RIBBONS

25.1. *For Competitions other than Showjumping and Showing:*

Trophies, sashes or ribbons must be given to at least one quarter of the Competitors. Any ribbons over and/or above these requirements are to be at the discretion of the Organising Committee. For Example:

If there are 25 to 28 Competitors award to 7th place.
If there are 29 to 32 Competitors award to 8th place.

25.2. *For Showjumping Competitions:*

Trophies, sashes or ribbons must be given to a minimum of 4th place, however sashes/ribbons to a minimum of 6th place are recommended.

25.3. *For Showing Classes:*

Ribbons must be awarded to 4th place in all Classes and sashes/rosettes must be awarded for Smartest on Parade, Champion and Reserve Champion placings. Satin or felt place ribbons/sashes/rosettes may be used. Length of sashes for Smartest on Parade placings are to be a minimum of 180cm long and 10cm wide. Sashes for SOP and Rider championships are to be a minimum of 180cm long and 15cm wide.

25.4. *When equal placings occur (except Showing):*

Option 1: Clubs may award ribbons on the same basis as the Performance Points System. For Example:

Two equal firsts, the next Competitor is placed third.
Two equal fourths the next Competitor is placed sixth.
Two equal fifths, no sixth place awarded (in classes of 25 to 28 Competitors, the next Competitor is placed seventh).

Option 2: Clubs may award ribbons to every placing regardless of equals. For Example:

Two equal firsts, the next Competitor is placed second.
Two equal fourths, the next Competitor is placed fifth.
Two equal fifths, the next Competitor is placed sixth.

Please Note: If Option 2 is selected, the PERFORMANCE POINTS must still be awarded in the manner described in Option 1 above.

25.5. In Showing only, each placing Competitor is awarded a ribbon, sashes or rosette.

26. JACKPOT SCORING – DRESSAGE

- 26.1. If an Organising Committee wishes to run a Dressage Competition providing two Dressage Tests for each Level, this may allow a Jackpot Competition to take place. .
- 26.2. The number of Competitors in each Section at each Level are allocated points from first to last placing. Competitors who are eliminated or retire are included but not scratchings or Hors Concours Competitors.
- 26.3. In the event of equal placings in the individual Sections, the placing competitors Jackpot points are added together and divided by the number placing competitors. The equal placings are skipped and the next Jackpot points awarded.

Example:

- Section 1 has 30 Competitors. The first placing will receive 30 points; the last placing will receive 1 point. If there were two equal seconds, 29 and 28 are added together and divided by 2. The equal placings receive 28.5 Jackpot points each. The next placing receives 27 Jackpot points.
 - Section 2 has 27 Competitors. The first placing will receive 27 points, the last placing will receive 1 points.
 - Add both Jackpot points from each Section for each Competitor.
 - The Competitor with the highest total Jackpot points is the winner of the Jackpot Competition.
- 26.4. Jackpot scoring must be used for Top Team Trophy Dressage Competitions to determine Top Team Trophy points for Team placings.
- 26.5. In the event of equal placings in the Jackpot, the two Dressage scores for each Competitor are tallied to give total marks earned and the Competitor with the highest total is the higher placegetter.
- 26.6. If the application of Rule 26.5 does not determine the higher placegetter, then the Competitor with the highest percentage in the higher Test shall be declared the higher placegetter
- 26.7. If the application of Rule 26.6 does not determine the higher placegetter, then the Competitor with the highest collective marks over the two tests is the higher placegetter.
- 26.8. If the application of Rules 26.5, 26.6 and 26.7 does not resolve the equal placing, then both Competitors are declared equal placegetters
- 26.9. Any other Jackpot equal placings are treated as for the allocation of Performance Card points as set out in Rule 24.12.

- 26.10. The Organising Committee may, at its discretion, present prizes for the Jackpot Competition as set out in Rule 25.4, Options 1 and 2.
- 26.11. If an organising committee wishes to run a Show Jumping competition providing two or more classes per level, a Jackpot award may be offered. Jackpot placings are determined in accordance with Event rules 49.11.3 to 49.11.8.

Protests & Appeals

27. PROTESTS

- 27.1. If an owner of a horse, the rider, or a representative of either, taking part in a Competition considers that a rule of the HRC AV has been breached that effects placing, scoring or results of a Competition, he/she may lodge a protest.
- 27.2. Protests must be made to the Event Secretary in writing detailing the facts of the incident, and accompanied by a deposit as nominated on the Program, which will be forfeited if the protest is not upheld.
- 27.3. Protests must be lodged within 30 minutes of the relevant Section/Class final scores being posted.
- 27.4. The Ground Jury will hear all Protests lodged in accordance with these rules.
- 27.5. The Ground Jury will hear from the member who lodged the Protest, the member whom the Protest concerns and any other Event official and witnesses called forth or named in the Protest, individually and in camera.
- 27.6. The Ground Jury must abide by, and uphold the Rule and Regulations of the HRC AV. They will make their decision in camera, and then advise the effected parties of the decision.
- 27.7. Full interim scores must be posted at the completion of each class and a reasonable period prior to presentations must be allowed for lodging of protests to address scoring errors. Competitors must be notified when interim scores are posted and the time of posting and the estimated time of presentations should be noted on the sheet. Protests relating to scoring errors will not be received more than half an hour after the posting of interim scores or following presentation of prizes.

28. RULE INFRINGEMENTS

- 28.1. At an Official HRC AV Event all Rules and Regulations of the HRC AV must be followed at all times.
- 28.2. It is expected that any person attending an Event who observes a breach of the Rules or Regulations will inform the Ground Jury or the Organising Committee of such an occurrence to enable an investigation to be undertaken and action taken as appropriate

29. GROUND JURY

- 29.1. The Ground Jury conducts all protests and cases of infringements of the HRC AV Rules at an event with the exception of rule infringements addressed by a Technical Delegate or HRC AV Representative under the Yellow Warning Card system. The jurisdiction of the Ground Jury is in effect from one hour before the start of the Competition until half an hour after the posting of the results of the Competition.
- 29.2. The Ground Jury has the right to disqualify (with no refunds) a Competitor who behaves in a manner that is deemed to be unsafe or disruptive to the running of the Event or which constitutes a breach of the HRC AV Code of Conduct. Where it is found that a member's behaviour constituted a breach of the Code of Conduct, the Ground Jury may forward a report to the HRC AV for further action under the Complaint Handling rules.
- 29.3. The Event Secretary passes all written protests to the Ground Jury for adjudication.
- 29.4. The Ground Jury must comprise of three Members; these Members may include:-
- a qualified Judge or Judges.
 - a HRC AV Representative and/or Technical Delegate.
 - Members of the host Club (maximum number 2), who are not competing at the Event and who have a thorough knowledge of HRC AV Rules.
- 29.5. The Ground Jury must be impartial. By majority vote of the Ground Jury, the Ground Jury will request any member of the Ground Jury to stand aside for the hearing of a Protest. The remaining members of the ground Jury will then appoint another experienced, impartial member to the ground Jury for the hearing of that protest only.
- 29.6. The Members of the Ground Jury must not take into account any advice or observations from a person/s who is not a Member of the Ground Jury unless said person/s is brought forward as a witness to the happening. The Ground Jury must not take into account anything known beforehand of the Competitor/s or the horse/s. Any person who is alleged to have breached a rule of the HRC AV is to be given the opportunity to be heard.
- 29.7. In obvious cases of exhaustion, illness or injury during an Event, the Ground Jury will decide whether the horse may continue in the Competition. This decision can be over-ruled by a Vet.
- 29.8. In the case of a fall of rider, the Ground Jury has the authority to disqualify the rider from further participation if there is doubt that they are safe to continue.

Event Officials

30. REIMBURSEMENT OF HRC AV OFFICIALS

- 30.1. All HRC AV Judges, Course Designers, Course Builders, Cross Country Course Accreditors, Technical Delegates and HRC AV Representatives are to be reimbursed by the Host Club for travel expenses incurred in the undertaking of their duties. This reimbursement should be an amount within minimum and maximum limits and at a rate per kilometre as set by the HRC AV Executive from time to time. The current rate is 80c per kilometre, with a minimum of \$100 and a maximum of \$225. If an official's scheduled duties will require them to be at the venue for more than 8 hours, they are to be paid a meal allowance of \$40 in addition to the per kilometre rate. Should it be expected that other out of pocket expenses will be incurred, the Official and the Host Club should negotiate appropriate reimbursement prior to the confirmation of the booking of that official.
- 30.2. HRC AV Examiners or Trainers and HRC AV Representatives and Technical Delegates at Top Team Trophy events are to be reimbursed by the HRC AV in accordance with the above restrictions and any negotiations relating to additional out of pocket expenses should be presented to the HRC AV Executive committee prior to the undertaking of such duties.
- 30.3. If the Examiner or Trainer is officiating at an Event where the examination or training day is being undertaken, the Examiner or Trainer should be reimbursed either for Examining/Training or Officiating, not for both duties.

31. RENUMBERED

32. DELETED

33. SENIOR EVENT OFFICIALS

- 33.1. The HRC AV Executive Committee shall appoint one or more of the following Senior Event Official/s to represent the HRC AV at Top Teams Trophy events, Individual Championships and other nominated major events. A Technical Delegate shall be appointed to represent the HRC AV at Horse Trials events. These persons should be independent, ie: neither competing nor a member of the Host Club. At least one Senior Event Official is to be included as a member of the Ground Jury for the Event. Failure to comply with the directive/requirements of a Senior Event Official may result in loss of the Event's official status. Persons appointed to these positions shall be reimbursed by the HRC AV for costs incurred during the undertaking of their duties.

- 33.1.1. The **HRC AV Representative** shall provide assistance and advice to the Club running the event both in the lead up to and on the day/s of the event. The HRC AV Representative assumes control over matters relating to the administration of the event when necessary and is required to remain at the venue until all prizes have been awarded. They shall report back to the Executive Committee on matters concerning the running of the Event.
- 33.1.2. The **Chief Course Designer (CDD)** shall oversee the design and construction of Show Jumping courses to ensure that courses are built in accordance with HRC AV specifications and recommendations for course design. The CCD shall have the authority to make changes to courses to address compliance and/or safety issues. All Show Jumping courses are to be accredited by the CDD prior to being opened for walking.
- 33.1.3. A **Technical Delegate (TD)** shall be appointed to represent the HRC AV at all Horse Trials events and may also be appointed to TTT and other major events to assist the HRC AV Representative in the areas of safety and rule compliance. The TD, in conjunction with the organising committee, shall endeavour to ensure that all the arrangements for the competition are fair, safe and appropriate. At Horse Trials, the TD shall be responsible for overseeing the cross country phase and will have the authority to address matters relating to the design of the cross country course if circumstances warrant intervention. The TD shall also assume responsibility for overseeing the design and construction of Show Jumping course/s at when a Chief Course Designer (CCD) is not in attendance.
- 33.2. Any concerns relating to non compliance with HRC AV specifications and recommendations for course design are to be referred to the relevant Senior Event Official.
- 33.3. The HRC AV Representative and Technical Delegate have the authority to issue Recorded Verbal Warnings or Yellow Warning Cards in accordance with Event Rule 66.
- 34. LEVEL ASSESSMENT AT AN EVENT**
- 34.1. Only Official Level Assessors can re-assess a Competitor to a higher or lower Level based on their performance at an Event or at any other time. This re-assessment is then valid for future Competitions unless an Appeal is lodged.

Prohibited Substances & Cruelty

Preamble

The purpose of all equestrian events is to match the talents of horses and riders against each other under fair and equal conditions. The Rules have been established to ensure that the normal performance of a horse is not affected, either deliberately or unintentionally, by the influence of drugs and medications.

Fair competition can only be achieved with “drug-free” horses. The welfare of all horses should be of prime importance. Often the interests of a horse are not best served when it is required to compete under the influence of “drugs”. Too often ailments are aggravated and healing prevented, or at best delayed, when a treated horse is forced to compete. Some Events are tests of fitness of a horse and it is most unfair if lack of conditioning of a horse or poor riding techniques is masked by the use of “drugs”.

“Drugs” are considered in various classes rather than as individual substances or products and horse owners are advised to consult their own Veterinary Surgeons to classify drugs that might be used in treatment. It is impractical to list by product name the large number of preparations that may contain the same “drug”.

“Drugs” means any substance capable of affecting the performance or behaviour of a horse by its action upon the central or peripheral nervous system, the cardiovascular system, the respiratory system, the alimentary-digestive system, the musculo-skeletal system, or the urogenital system.

The great majority of the medicaments used in the treatment of equine ailments fall within this definition. Likewise most are scheduled substances, ie. they should be available only on prescription from or dispensed by registered Veterinary Surgeons. There are also a small number of patent horse medicines that contain “drugs” so that owners are warned against using mixtures of unknown composition. Again veterinary advice should be sought.

In order to allow for the “legal” use of “drugs” in the treatment of ailments in the pre-competition period, guidelines stating the recommended withholding periods for various classes of “drugs” have been provided to Veterinary Surgeons. The guidelines may not be totally realistic and it must be emphasised that the onus is at all times on the Competitor to present a horse for Competition without a “drug” detectable in its body. The withholding periods for various classes of “drugs”, and individual “drugs” in some classes is based on studies involving recommended therapeutic doses only and make no allowance for exceptional dosage regimes whether of the “drug” alone or in combination with other “drugs”.

It cannot be stressed too strongly that the withholding periods are issued for guidance only. Please note that no matter by which route - by mouth, by injection, by application as an ointment, etc, a "drug" is administered, the same restriction applies.

The availability to lay persons of many of these potent substances is a cause for concern and may lead to trouble under the Rules for individuals who are inclined for any number of reasons to treat their horses, even sometimes with preparations obtained legally, without first seeking veterinary advice. As a general rule, the use in horses of any "drug" containing preparations in the three (3) days prior to Competition may result in detectable residues in samples taken pre or post the Event. With some "drugs" an even longer withholding period is recommended. Consult with your veterinarian to determine recommended withholding periods. Should a horse be treated within ten (10) days of an event, it is recommended that the rider/owner request a receipt or record of treatment for the horse for consideration in the case of an adverse swabbing result.

Phenylbutazone (not permissible) is sold under a variety of trade names and is available as powders or tablets for feeding or dosing by mouth, as solutions for intra-muscular or intra-venous injection, or as ointments, alone or in combination with other "drugs" for topical application. Topical use of products can and do produce detectable blood and urine levels of prohibited substances. Recommended withholding periods need to be observed. Horses taking part in a Competition must be healthy and compete on their inherent merits. The use of any prohibited substance can influence a horse's performance, mask a state of ill health and, therefore, falsify results in the Competition itself and in the inference to be drawn on the genetic potential of the horse and of its lineage.

35. PROHIBITED SUBSTANCES (COMPETITORS)

- 35.1 Competitors may not take part in any Event while under the influence of ~~any stimulating or calming drugs in any shape or form~~ a substance noted as prohibited on the WADA Prohibited List. For further information about drugs in sport refer to the Australian Sports Anti Doping Authority (ASADA) website. Riders may request an exemption to use a prohibited medication to treat a legitimate medical condition provided it does not give them an unfair advantage.

36. PROHIBITED SUBSTANCES (HORSES)

- 36.1. Any substance is a "prohibited substance" if it contains an ingredient that is a stimulant, depressant, tranquilliser, local anaesthetic, psychotropic (mood and/or behaviour altering) substance, or drug which might affect the performance of the horse and might interfere with the prohibited substance testing process.
- 36.2. Horses must not compete under the influence of a prohibited substance and if they have required treatment in the pre-Event period then treatment should cease at such time that drug residues are no longer detectable at the time of Competition.
- 36.3. **Swabbing of horses** may be undertaken at any event at any time at the discretion of the HRCAV, following protocols outline in Appendix 10. Any

competitor who refuses to allow his/her horse to be swabbed will be subject to an immediate 12 month suspension of membership.

- a) All costs associated with the swabbing process and testing of the A sample are to be borne by the HRCAV.
- b) The owner/rider shall be informed in writing of the results of the A sample test.
- c) Should the owner/rider dispute an A sample tests result, they may request that the B sample be tested at their expense. Should the B sample test fail to provide conclusive proof that the horse had prohibited substances in its system on the day of the event, the cost of the B sample test shall be refunded by the HRCAV.

Owners/riders of a horse found to have a prohibited substance in any of its tissues, body fluids or excreta at an event as the result of a medication test, shall be subject to disciplinary action under the Complaint Handling rules.

36.4. Prohibited substances as defined above are:

- a) substances capable at any time of acting directly or indirectly on one or more of the following mammalian body systems:
 - Central and/or peripheral nervous systems,
 - Cardiovascular system,
 - Respiratory system,
 - Alimentary digestive system,
 - Musculo-skeletal system,
 - Uro-genital system,
 - Endocrine system and
- b) falling within the following categories of substances:
 - Acidifying agents,
 - Adrenergic blocking agents,
 - Adrenergic stimulants,
 - Alkalinising agents,

- Anabolic agents,
- Analgesics,
- Antiangina agents,
- Antiarrhythmic agents,
- Anticholinergic agents,
- Anticoagulants,
- Antidepressants,
- Antihistamines,
- Antihypertensives,
- Anti-inflammatory agents,
- Blood coagulants,
- Bronchodilators,
- Bronchospasm relaxants,
- Buffering agents,
- Central nervous system stimulants,
- Cholinergic agents,
- Corticosteroids,
- Depressants,
- Diuretics,
- General anaesthetics,
- Haematopoietic agents,
- Hormones (including trophic hormones) and their synthetic counterparts,
- Hypnotics,
- Local anaesthetics,
- Muscle relaxants,

- Narcotic analgesics,
 - Neuromuscular agents,
 - Plasma volume expanders,
 - Respiratory stimulants,
 - Sedatives,
 - Tranquillisers,
 - Vasodilators,
 - Vitamins administered by injection
- c) Any other substance declared by the HRC AV from time to time and notified to members to be a prohibited substance.

36.5. Herbal or natural medicinal preparations, tonics, pastes, powders and products of any kind should be used with caution as they might contain a prohibited substance

37. PERMITTED SUBSTANCES

37.1. The substances listed below are exempt from rule 36.3:

- Antimicrobials (antibiotics) and other anti-infective agents but not including procaine penicillin.
- Antiparasitics approved and registered for use in horses.
- Ranitidine.
- Omeprazole.
- Ambroxol.
- Bromhexine.
- Dembrexine.
- Registered vaccines against infectious agents.
- Glucosamine.
- Chondroitin sulphate.
- Altrenogest when administered to fillies and mares orally.
- Cyclosporin eye drops for horses with chronic uveitis

- 37.2. Use of therapeutic or commonly used equine medication for horses with documented medical conditions is allowed with prior written permission of the HRCAV. Applications shall be considered on a case by case basis taking into consideration the potential to affect performance and the potential welfare risk to the horse.
- 37.3. The following prohibited substances, when present at or below the concentrations respectively set out, are exempt from the provisions of rule 36.3.
- Alkalinising agents, when evidenced by total carbon dioxide (TCO₂) at a concentration of 36.0 millimoles per litre in plasma.
 - Arsenic at a mass concentration of 0.30 milligrams per litre in urine.
 - Dimethyl sulphoxide at a mass concentration of 15 milligrams per litre in urine or 1.0 milligrams per litre in plasma.
 - In male horses other than geldings, free and glucuroconjugated 5 α -estrane-3 β , 17 α -diol at a mass concentration of 45 micrograms per litre in urine when, at the screening stage, the free and glucuroconjugated 5 α -estrane-3 β , 17 α -diol exceeds the free and glucuroconjugated 5,10 estrene-3 β ,17 α diol in the urine.
 - Salicylic acid at a mass concentration of 750 milligrams per litre in urine or 6.5 milligrams per litre in plasma.
 - Hydrocortisone at a mass concentration of 1.00 milligrams per litre in urine;
 - Testosterone:
 - (a) in geldings: free testosterone and testosterone liberated from its conjugates at a mass concentration of 20 micrograms per litre in urine;
 - (b) in fillies and mares: free testosterone and testosterone liberated from its conjugates at a mass concentration of 55 micrograms per litre in urine;
 - (c) in geldings, fillies and mares: free testosterone at a mass concentration of 100 picograms per millilitre in plasma;
 - (d) in pregnant fillies and mares : free testosterone and testosterone liberated from its conjugates at any concentration in urine, or free testosterone at any concentration in plasma.

- 3-Methoxytyramine (including both free 3-methoxytyramine and 3-methoxytyramine liberated from its conjugates) at a mass concentration of 4.0 milligrams per litre in urine.
- Boldenone in male horses other than geldings (including both free boldenone and boldenone liberated from its conjugates) at a mass concentration of 15 micrograms per litre in urine.
- Theobromine at a mass concentration of 2.00 milligrams per litre in urine.
- Cobalt at a mass concentration of 100 micrograms per litre in urine or 25 micrograms per litre in plasma.
- Prednisolone (free prednisolone) at a mass concentration of 10 micrograms per litre in urine.
- Any other substance declared by the HRC AV from time to time to be exempt.

37.4. **Emergency treatment requirements.** Administration of a prohibited substance at an HRC AV event is permitted by a registered veterinarian called to treat an injury or ailment occurring at the venue. The horse must be withdrawn from further participation at the event and a Treatment Form (form E14) submitted to the Event Secretary on the day of the event.

38. CRUELTY AND ABUSE OF HORSE

- 38.1. Any incident of cruelty or abuse should be reported to the Ground Jury on the day of the event. Failure to report the matter to the Ground Jury does not preclude a complainant from reporting the matter to the HRC AV under the Complaint Handling Rules.
- 38.2. Technical Delegates and HRC AV Representatives in attendance at Horse Trials and major events also have the authority to address reports of cruelty or abuse under the Yellow Warning Card system.
- 38.3. Cruelty or abuse can be defined as intentionally causing pain or unnecessary discomfort to a horse. As examples, an act of cruelty can be any of the following:.
- To whip or beat a horse excessively.
 - To subject a horse to any kind of electric shock device.

- To use spurs, or to jab the horse in the mouth with the bit, excessively or persistently.
 - To ride an obviously exhausted, lame or injured horse.
 - To 'rap' a horse anywhere in or outside the grounds of the Event.
 - To hyper-sensitise any part of a horse.
 - To leave a horse without adequate food, drink and exercise.
 - Horses bleeding on the flank(s) or back indicating excessive use of the whip and/or spurs.
- 38.4. **Blood on Horses:** Blood on horses may be an indication of abuse of horse and must be reviewed case by case by the Ground Jury, Official Veterinarian or a Technical Delegate. In minor cases of blood in the mouth, such as where a horse appears to have bitten its tongue or lip, or minor bleeding on limbs, after investigation the Ground Jury Official Veterinarian or Technical Delegate may authorise the rider to continue.
- 38.5. **Use of the Whip:** Excessive and/or misuse of the whip may be considered abuse of horse and will be reviewed case by case by the Ground Jury or a Technical Delegate according to the following principles:
- a) The whip is not to be used to vent a Rider's temper.
 - b) The whip is not to be used after elimination.
 - c) The whip is not to be used after a horse has jumped the last fence on a course.
 - d) The whip is not to be used overhand, (i.e. a whip in the right hand being used on the left flank).
 - e) The whip is not to be used on a horse's head.
 - f) The whip is not to be used more than three times for any one incident.
 - g) If a horse's skin is broken, the use of the whip is always excessive.
- 38.6. Officials, or any other persons, reporting a case of cruelty should, if possible, secure one or more witnesses to the incident, or obtain other forms of evidence. The witnesses should either be present to support the report or provide written statements duly signed, together with their names and addresses. The person about whom the report is made is to be given the opportunity to respond during investigation of the matter.
- 38.7. Any act or series of actions which, in the opinion of the Ground Jury, can clearly and without doubt be defined as cruelty or abuse, shall be penalised by disqualification from the event. The Organising Committee must also refer details of any matters relating to horse abuse or cruelty to the HRCVA within 30 days of the event for consideration under the Complaint Handling rules, regardless of whether the complaint was acted upon at the event.

Top Team Trophy Events

39. OVERVIEW

- 39.1. All Top Team Trophy (TTT) Events must be conducted under HRC AV Rules and Regulations.
- 39.2. There shall be only one TTT Event per discipline, each calendar year.
- 39.3. The Host Club may elect to co-host a TTT Event with any other Member Club/s.
- 39.4. The HRC AV retains the right of approval for all TTT Events.

40. TTT EVENT DESCRIPTION

40.1. *Purpose*

- 40.1.1. To increase opportunities for fair and equal inter-Club competition.
- 40.1.2. At least seven (7) Member Clubs must participate for the Event to gain Top Team Trophy status.

40.2. *Team Definition*

- 40.2.1. A Team shall consist of 3 or 4 riders, but only the 3 highest TTT scores will count.
- 40.2.2. Team Members must compete at their assessed Level. **Riders are not permitted to compete at a lower level with penalties.**
- 40.2.3. A Team may consist of Competitors from various Levels.

40.3. *Entries*

- 40.3.1. Entries for TTT events are to satisfy the following requirements.
 - Submitted on the Standard Entry form for Team Events providing full and complete details.
 - Accompanied by the correct entry fees (including specified levies)
- 40.3.2. At the discretion of the organising committee, entries not meeting these requirements will be returned to the Club for correction and return prior to the closing date. Failure to meet the entry requirements by the closing date for entries may result in non acceptance of entry.

40.4. *Volunteer Helpers*

- 40.4.1. Should a host Club require assistance to run an event, as a 'Condition of Entry' it may stipulate that Teams must supply a volunteer helper or helpers. If a volunteer is unable to fulfil their commitments for the nominated period, a replacement helper must be provided. The Organising Committee may eliminate Teams failing to provide a volunteer helper for the nominated period and may report the matter to the HRCVA which has the option to fine the relevant Club/s an amount not exceeding \$200.

40.5. *Composite Teams*

- 40.5.1. Team entries comprising members of more than one Club will not be accepted. Where a Club is unable to field a complete team or teams, the Club may enter one or two riders. Such entries must meet the requirements of 40.3.1 and include a pro rata team fee and at least one volunteer helper. The Organising Committee shall place these riders in a composite team.
- 40.5.2. Where a team of three members from the same Club is entered, the Club may request a fourth individual be added to the team by the organising Committee to form a composite team.

40.6. *Competing on More than One Horse*

- 40.6.1. Competitors may only enter one horse in a TTT event. If insufficient entries are received, competitors may enter more than one horse if approved by the Organising Committee. A horse can only be ridden by the one competitor, at the one level and in one team.
- 40.6.2. A rider competing on more than one horse must compete each horse in a different team.

40.7. *Proof of Qualifying*

- 40.7.1 Only combinations which have satisfied one of the following qualifying options shall be eligible to compete. **Qualifying must have been achieved within the 12 month period prior to the advertised date for close of entries.**

a) Option 1

Combinations to have competed in a minimum of 3 official HRCVA events in the same discipline as the TTT event (not including the previous TTT)

b) Option 2

Combinations to have been level assessed or had their current assessed level verified by a Level Assessor.

c) Option 3

Combinations to have advanced to the next level through accumulation of performance points.

7.1.2 **Proof of satisfying a TTT qualifying option must be provided to the organisers before close of entries.** Accepted forms of proof shall be:

a) A copy of the competitor's **performance card or relevant database record** showing

- 3 placings during the qualifying period as per Option 1 or
- an assessment entered during the qualifying period or
- an entry by a Level Assessor indicating that the combination's current level has been verified or
- an entry indicating that the combination advanced to a higher level during the qualifying period.

b) A **Qualifying Log** for the relevant discipline stamped and signed by an event official to indicate that the combination competed at their event may be used to show proof of meeting Option 1. The Qualifying Log may be used in conjunction with a performance card **or database record** of competition results to show proof that the combination has competed in a minimum of 3 events during the Qualifying Period.

40.8. *Team Balloting*

40.8.1. Clubs must prioritise Teams on their Team Entry Form. Where the number of entries exceeds the number, which can be accommodated by the host club, Teams will be accepted on the basis of the TTT Team Ballot (refer Event Rule 53) of prioritised Teams received by the closing date. The number of Teams accepted from the Host Club is not to exceed the maximum number of Teams accepted from other Clubs. If the Teams are not prioritised on the Entry Form the Host club will assign a priority to each Team

40.8.2 In the case where a TTT Team Ballot is required to reduce the number of Teams, composite Teams will be accepted only where the members of the Team are from clubs which have not entered a complete Team. These composite teams will be included into the Ballot process where applicable

40.9. *Schedule of Team Prizes*

- 40.9.1. A perpetual trophy (originally organised by the HRC AV or through a Sponsor) is awarded to the winning Team.
- 40.9.2. In addition to the perpetual trophy, a permanent Club trophy (provided by the Host Club) is also awarded to the winning team.
- 40.9.3. The HRC AV will advise Clubs running TTT Events as to the prior winners to regain the perpetual trophy. It is, however, the Host Club's responsibility to collect the perpetual trophy.
- 40.9.4. A maximum of \$20 per Team may be charged by Host Clubs for the Team entry fee. All Team entry fees must be distributed back to the placing Teams as cash, prizes and sashes. Cheques are made payable to the placing Clubs. .
- 40.9.5. In addition, four sashes/rosettes (or an equivalent prize) must be awarded to the first six (6) Teams placing and when the Event size warrants, awarded to the first eight (8) Teams placing. The sashes are supplied by the Host Club.
- 40.9.6. The minimum standard for Team sashes/rosettes is as follows:
 - 10cm fringed sashes or 3 ruffle rosettes of at least 14cm diameter with 3 tails inscribed with Top Team Trophy, year, discipline, Host Club and placing.

40.10. *Schedule of Individual Prizes*

- 40.10.1. Individual placings are to be recognised by the awarding of sashes.
- 40.10.2. These are to be awarded to 25% of all Competitors (ie one in every four Competitors) competing in each Section on the day.

That is, if there are 25 to 28 Competitors award to 7th place. If there are 29 to 32 Competitors award to 8th place.
- 40.10.3. The minimum standard for individual sashes/rosettes is as follows:
 - 8cm fringed sashes or 2 ruffle rosettes of at least 11cm diameter with 3 tails inscribed as per Rule 40.9.6 but Top Team Trophy may be replaced with TTT.
- 40.10.4. For placings 1st to 4th the standard colours of 1st = Blue, 2nd = Red, 3rd = White and 4th = Green must be used. Clubs are encouraged, where possible, to supplement prizes through sponsorship for both Individual and Team placings.
- 40.10.5. Individual section winners are to be recognised by the awarding of trophies
- 40.10.6. For Showing Classes, refer to Event rule 25.3

41. TTT EXPRESSIONS OF INTEREST AND EVENT APPROVAL

- 41.1. The winner of the TTT Event (in a particular discipline) has the first option to host the next Event in the same discipline. Only Clubs represented by a minimum of three members in the winning team shall be eligible to claim first option to host the next event.
- 41.2. The winning Club must advise the HRC AV of their intentions, in writing, within 2 months of winning the Event. The winning Club has the right to decline the offer.
- 41.3. At the completion of a TTT Event, any HRC AV Club may submit an Expression of Interest in writing to host the next TTT Event in that discipline. All Expressions of Interest will be held by the HRC AV Executive Committee and shall be given consideration if the winner of the TTT declines to host the next Event. The Expression of Interest is must include information relating to the proposed venue, facilities, etc. and be signed by two members of the Club/s Executive.
- 41.4. Should the winner of the Event decline (within 2 months), then the most suitable Club, which has submitted an Expression of Interest shall be awarded the honour of hosting the Event.
- 41.5. Nine (9) months notice, in advance, must be given to the HRC AV of a Club's intention to hold a TTT Event.
- 41.6. Any Club who is approved to run a TTT Event must submit a draft of their proposed Program to the HRC AV not less than four (preferably five) months before the Event. The HRC AV will negotiate with the Club for a mutually agreeable date if required. For Showing only, the final program must list the Judges by Level.
- 41.7. The HRC AV will only approve another Official Event on the same date set for a TTT Event if:
 - 41.7.1. the Event is in a different discipline, and/or
 - 41.7.2. the Event is being held with a substantial geographic distance between the two venues.
- 41.8. Approval from the HRC AV, to hold a TTT Event, may be withheld on the basis of the Club/s submitting details of an unsuitable venue.
- 41.9. The Program must contain an opening date for the acceptance of entries. This date shall be set 6 weeks prior to the closing date. This date must appear clearly on the Program. The Program must also state "Swabbing of horses may occur".

42. TTT GENERAL RULES

- 42.1. In all TTT Events, where the discipline of Dressage occurs, it is mandatory that HRC AV Official Dressage Judges be used for Levels 3, 4 and 5. Official Judges must be used for Advanced, Levels 1 and 2 unless the Dressage Sub-Committee has given prior approval.
- 42.2. In all TTT Events, where the discipline of Showjumping occurs, it is mandatory that HRC AV Official Course Builders and Judges be used for all Levels unless the Jumping Sub-Committee has given prior approval.
- 42.3. All Team Members compete for individual placings within their Competition/Section. Official Points for Performance Cards are to be awarded to individual placegetters only.
- 42.4. Deleted.
- 42.4.1. Deleted
- 42.5. Valid membership and performance cards must be presented prior to competing in any competition. Failure to comply will render the competitor Hors Concours (Refer Event Rule 8)
- 42.6. Substitute Team Members may be permitted, providing the proposed substitute is of the same Level and the Event Secretary has been notified, and agrees to this arrangement prior to the said Event.
- 42.7. The Host Club must provide a Ground Jury as per Event Rule 29. The Ground Jury must include a minimum of:
- a) The HRC AV Representative and/or Technical Delegate.
 - d) Chief Judge
 - e) Office Bearer of the Host Club
- 42.8. Any horse considered unsound by a Judge/Ground Jury/Vet will not be allowed to continue in any phase of the Competition unless this decision is over-ruled by the Vet.
- 42.9. Deleted
- 42.10. At all TTT Events, full details of competing Teams shall be posted. Details shall include back number, Rider's name, horse's name, Team name and progressive Team points. Final team scores, showing a breakdown of team points per rider, shall be posted for ½ hour prior to presentations to allow for lodging of protests.
- 42.11. A paramedic with appropriate medical equipment and means of moving injured persons must be appointed and remain on site for the duration of any TTT event. A paramedic is defined as a person having professional training in medical care but who is not a doctor.

- 42.12. The HRC AV Representative must be provided with a copy of the competition draw at least one week prior to distribution.
- 42.13. The competition draw must be posted on the HRC AV website and a copy provided to team coordinators at least one week prior to the event. Where changes are made to the original draw, the final version shall be posted on the HRC AV website no later than 48 hours prior to the event. Organisers should avoid issuing multiple versions of the draw prior to the event.
- 42.14. The HRC AV must be provided with full team and individual results in a format suitable for posting on the HRC AV website within 2 days of the event and prior to posting of results on alternative websites, not including the official event website.
- 42.15. A Crisis Management Plan shall be devised for all Top Team Trophy events, using Event Rules Appendix 13 as a guide. A copy of the Plan shall be provided to each member of the Crisis Management Team. The HRC AV Representative is to be provided with a copy of the Plan at least one week prior to the event.

43. TTT DRESSAGE EVENTS

- 43.1. HRC AV Dressage Tests must be used.
- 43.2. Each Competitor rides two (2) Dressage Tests at their assessed Level.
- 43.3. It is strongly recommended that HRC AV Advanced Judges or EFA D and above Judges be selected to judge Advanced at TTT Events. Two Judges must be used for Advanced and Level 1 tests. It is strongly recommended that two Judges be used for Level 2 tests.
- 43.4. Sashes and points for Performance Cards are to be awarded to individual placegetters for both Dressage Tests separately.
- 43.5. Jackpot Scoring must be used (refer Event Rule 26) to determine TTT Team placings. Any equal placings in the Jackpot shall be split using the process outlined in Event rules 26.5 to 26.8.
- 43.6. Competitors must attain a minimum score of 60% of the total available marks in both tests to gain TTT scoring points.

44. TTT SHOWJUMPING EVENTS

- 44.1. The Competitions must be selected from the list of approved HRC AV Showjumping Events.
- 44.2. Sections must be limited to a maximum of thirty-two (32) Competitors (refer Event Rule 17).
- 44.3. There must be three (3) Competitions offered, one of which must be a Table A.
- 44.4. Jumping Competitions with two (2) or more riders eg. Rescue relay are not permitted Competitions for TTT Showjumping.
- 44.5. HRC AV points for Performance Cards are awarded as per Event Rule 24.
- 44.6. Deleted
- 44.7. Course accreditation by the Technical Delegate - refer Event Rule 33.

45. TTT COMBINED TRAINING EVENTS

- 45.1. Official HRC AV Dressage Tests must be used.
- 45.2. The jumping phase may be either:-
 - Showjumping run according to the Combined Training Rules, or
 - Jumping Equitation (referred to as Jumping Equitation Combined Training).
- 45.3. As a variance to standard Official Combined Training Events, Competitors are NOT permitted to:-
 - enter a Dressage Section only
 - ride a Level higher in Dressage
- 45.4. All Competitors compete at their assessed Combined Training Level.
- 45.5. HRC AV points for Performance Cards are to be awarded to individual placegetters in each Competition.
- 45.6. Course accreditation by the Technical Delegate - refer Event Rule 33.

46. TTT HORSE TRIAL EVENTS

- 46.1. Deleted (July, 1998)
- 46.2. HRC AV points for Performance Cards are awarded to individual placegetters in each Section.
- 46.3. The Cross Country Course Accreditor shall be invited to attend the event, in an official capacity, to assist the Ground Jury in adjudication of issues relating to the cross country course. Should the Accreditor be unable to attend, the Technical Delegate shall assume their powers as per Event Rule 33.7. In the absence of both a Technical Delegate and Accreditor, the Ground Jury shall be responsible for decisions relating to the cross country course.

47. TTT SHOWING EVENTS

47.1. Schedule

- 47.1.1. A full schedule of Classes must be provided for each Level.
- 47.1.2. Levels Advanced, 1 and 2 may be combined, but separate Classes for each Level must be conducted for Ridden Exhibit, Best Educated Mount and Rider Classes.
- 47.1.3. Rider Championships must be awarded for each Level.
- 47.1.4. Only Classes listed in Appendix 2 of the Showing Rules may be included in the Schedule.
- 47.1.5. Where Competitors in a Class exceed 32, that Class may be divided but **not** judged separately.

47.2. Judges and Officials

- 47.2.1. It is strongly recommended that Judges from the Official Show Judges list should be used.
- 47.2.2. Clubs running Official HRC AV Shows or Hosting a HRC AV ring must provide a minimum of a card/result secretary, gate steward and ring steward for each ring.
- 47.2.3. The Organising Committee shall appoint an Official Measurer for the Event.
- 47.2.4. Judges who have judged a level at the Melbourne Summer Royal in a season, may not judge the same level at the TTT Showing in the same season.

47.3. *Awards/Prizes*

- 47.3.1. Team prizes must meet the requirements of Event Rule 40.9.
- 47.3.2. Ribbons must be awarded to 4th place in all Classes. Showing Classes are exempted from the minimum requirements for individual placegetters.
- 47.3.3. It is optional for Host Clubs to omit date and Host Club name from Smartest on Parade sashes and place ribbons. For Showing only, it is at the Host Club's discretion to use satin or felt place ribbons/sashes/rosettes.
- 47.3.4. No Supreme Champion awards are to be made.
- 47.3.5. Equal placings must not be awarded for 1st to 4th place.

48. TTT NAVIGATION RIDES

- 48.1. A Team shall consist of four (4) riders, competing as two (2) pairs.
- 48.2. TTT scoring points shall be allocated by pair, not individual riders.
- 48.3. Individual pair placings will apply in each section.
- 48.4. Each rider's Navigation Ride level must be recorded on the entry form..
- 48.5. All Teams must be prioritised by their Club on the Entry Form. Each rider must be individually numbered 1-4. Riders 1 and 2 shall be the first pair, Riders 3 and 4 shall be the second pair.
- 48.6. All Competitors must be financial members of Clubs affiliated with the HRCVA and Membership and Navigation Ride cards must be presented prior to riding.
 - 48.6.1. Qualifying requirements (as per Event Rule 40.7) do not apply to TTT Navigation Rides
- 48.7. Team prizes will be presented as per TTT Rule 41.9
- 48.8. Pair prizes will be presented as per TTT Rule 41.10.
- 48.9. The TTT Navigation Ride Event will be run in Sections with the maximum number of pairs by Section being 32.
- 48.10. Pairs are to be spread pairs across Sections to provide fair and equitable competition for TTT scoring points. Eg. Whenever possible, pairs from the same Team should not be included in the same section.

- 48.11. A Team is ineligible for TTT scoring points if both pairs do not complete the Event. However, individual pair placings may still occur.
- 48.12. The Team's total TTT score will be the total points earned by each pair.
- 48.13. Teams competing in the Event must wear club colours.
- 48.14. In the event that a Team opens the "emergency directional envelope" the pair is eliminated and therefore their team is ineligible for a TTT placing. However, the other pair in the team is eligible for individual prizes.
- 48.15. Should a pair retire or exceed the time limit, then the Team is eliminated and ineligible for TTT placings.
- 48.16. Scoring to be conducted as per Navigation Ride rules 6, 7, 8 and 11.3
- 48.17. A prize for the pair closest to the time allowed is recommended for each Section.
- 48.18. A gear check will occur 20 minutes prior to the pair's start time.
- 48.19. *Compulsory Inspection of Horses*
- 48.19.1. All horses shall be inspected at the conclusion of the ride by a steward appointed to the task. The inspection should preferably be conducted by a Veterinarian or a person very experienced in the fitness of horses.
- 48.19.2. All competitors must proceed to the Compulsory Inspection area immediately after the completion of the ride.
- 48.19.3. If the steward determines that the horse is lame, exhausted or suffering from any health issue causing it distress, the matter shall be referred immediately to the Ground Jury which has the power to eliminate the combination from the competition. A detailed examination of the horse is not necessary. It is adequate for each horse to have a general examination for signs of lameness, distress or fatigue.
- 48.19.4. There is no appeal against elimination on veterinary grounds at the TTT Navigation Ride.
- 48.19.5. Competitors are warned that competing with a horse in such a condition can amount to cruelty which can be actioned under the Disciplinary Rules of the HRCav.

49. TTT SCORING SYSTEM FOR ALL DISCIPLINES (EXCEPT SHOWING AND NAVIGATION RIDES)

- 49.1. The TTT scoring system awards TTT scoring points to 25% of the Competitors in each Class/Section.
- 49.2. In a Team of four (4) Competitors, only the best three (3) TTT scores are counted towards Team placings. The lowest TTT score is discarded.
- 49.3. The numeric value of the points is based on a descending linear numeric sequence with the Competitor placing first always receiving the highest points in the Class. The total points awarded in any Class is proportional to the number of Competitors in the Class. Refer Table under Event Rule 51.
- 49.4. The Class size must be the number of the Competitors who commence the Competition, not the number of entries received for the Competition. This discounts scratchings but includes eliminations.
- 49.5. The TTT scoring system must be displayed on the scoreboard.
- 49.6. The scoreboard must display full details of Competitors by individual Section and full details of competing Teams. Details shall include the Competitor's number, name, horse's name, Team name and progressive Team points.
- 49.7. Scorers must record all eliminations as well as all scoring points earned by every Team. This is to avoid counting points for a Team that may have less than three Competitors that complete the Event, and to ensure placings in accordance with the above Rules. Refer Event Rule 52, which contains scoring examples.
- 49.8. *Equal Individual Placings*
- a) Count the number of Competitors who are equal.
 - b) In a descending numeric sequence, miss the next placing(s) depending upon how many Competitors were equal.
 - c) Add the points at the appropriate placings and then divide by the number of Competitors.

Examples: 2 Competitors on = 1st, in a field of 28 Competitors:
6.63 TTT points PLUS 5.68 TTT points divided by 2 Competitors
= 6.155 TTT points each. The next Competitor is placed 3rd and
is awarded 4.73 TTT points.

49.9. *Equal Team Placings*

49.9.1. Should one Team be a Team of 3 only, the Team of 3 is placed above the Team of 4.

49.9.2. If both Teams are Teams of 4, the Team with the higher discarded TTT points is placed above the other Team.

49.9.3. If both Teams are Teams of 4 and only one Team had an Elimination as their discarded score, then that Team is placed below the other Team.

48.9.3.1 For Showing only, if there are still equal placings, the Team with the higher points in Rider Classes is placed above the other.

48.9.3.2 For Showing only, if there is still equal placings, the Team with the higher points in Mount Suitable for HRCAV Classes is placed above the other.

49.9.4. If both Teams were Teams of 3 only, both Teams of 4 had a Member eliminated, or both Teams of 4 had the same discarded TTT scoring points/Competition discard score, then the sum of the number of Jackpot points earned per team will be taken into consideration and

a) The team with the higher total will be placed above the other team.

b) For Horse Trials and Combined Training TT events which do not use a jackpot points system, points based on placings will be used (ie: 1st place in a field of 25 earns 25 points, 2nd place earns 24 points...)

If teams are still equal, then equal placings must be awarded.

49.9.5. In the event of equal placings, the options are to award prizes to all placing Teams or award prizes to the equal placing then miss the next placing.

Eg. Two equal firsts - award equal first prizes then second, third, etc or Two equal first prizes, miss second place and place the next Team third, fourth, etc.

49.9.6. For Navigation Rides only, in the event of equal team placings, the team with pairs closest to the time allowed shall be placed higher.

49.10. *TTT Dressage*

- 49.10.1. Should a Team Member retire and/or be eliminated in either test, they are not eligible for a Jackpot placing which determines the TTT points. They will be considered as eliminated from the TTT Competition and this will be a discard score.

49.11. *TTT Showjumping*

- 49.11.1. Team Members if eliminated in a Class may continue to compete in the other Classes on the Program.
- 49.11.2. Jackpot scoring must be used for TTT SJ Competitions to determine TTT points for Team Placings.
- 49.11.3. The number of Competitors in each Class is allocated points from first to last placing. Competitors who are eliminated or retire are included, but not scratching or Hors Concours Competitors.
- 49.11.4. Should a Team Member scratch, retire and/or be eliminated in a Class, they are eligible for and shall retain Jackpot scoring points earned in other Classes.
- 49.11.5. In the event of a competitor being the sole entrant in a level, (eg: Advanced), the competitor must complete at least two classes without elimination or retiring to be awarded TTT points or to be considered the section winner.
- 49.11.6. In the event of equal placing in the individual Classes, the placing competitors' jackpot points are added together and divided by the number of placing competitors. The equal placings are skipped and the next Jackpot points awarded.

Example:

- Section 1 has 32 competitors
- Class 1 has 32 competitors. The first placing will receive 32 points, last placing will receive 1 point. If there were two equal seconds, 31 and 30 are added together and divided by 2. The equal placings receive 30.5 jackpot points each. The next placing receives 29 Jackpot points
- Class 2 has 30 competitors. The first placing will receive 30 points, last placing will receive 1 points. Eliminated/retired competitors will receive 0 points.
- Class 3 has 27 competitors. The first placing will receive 27 points, last placing will receive 1 point. Eliminated/retired competitors will receive 0 points.

- Add all Jackpot points from each class for each competitor.
- The competitors are placed according to their total Jackpot points. The competitor with the highest Jackpot points will be declared the winner and TTT points will be allocated accordingly.

49.11.7. In the event of an equal place for the Jackpot, the competitor with the highest place in the Table A class will be declared the higher place getter. If two official Table A classes are offered, the class with the higher number will be used first, ie; AM7 is higher than AM3.

49.11.8. If the application of Rule 49.11.6 does not determine the higher placegetter, then the competitor with the highest place in the other official Class will be declared the higher placegetter.

49.12. *TTT Showing*

49.12.1. TTT points will be awarded for placings to 4th in each Class only.

49.12.2. TTT points will not be awarded for Championships.

49.12.3. TTT points will not be awarded for restricted classes, eg. Novice or Consolation classes.

49.12.4. The number of starters in each Class is to be recorded by the Steward on the result sheets.

49.12.5. TTT points will be awarded as follows:

Placing	Number in Class			
	1	2	3	4
1 st	1	2	3	4
2 nd	-	1	2	3
3 rd	-	-	1	2
4 th	-	-	-	1

50. TTT DISPUTES

50.1. If a dispute arises as to the award of the Top Team Trophy, then:

the Appellant shall:

- Lodge a written statement of appeal with the Event Secretary on the day of the Event.
- Include a non-refundable fee (the amount to be stipulated on the Program).

the Event Secretary shall, within seven days of the Event, lodge with the HRCAV:

- The Appellant’s appeal.
- A written report from the Event Secretary.
- A written report from the HRCAV Representative/Technical Delegate.

The HRCAV Executive Committee will adjudicate the dispute.

51. SIZE OF CLASS AND THE TTT SCORING POINTS AWARDED FOR EACH PLACING (EXCLUDING SHOWING AND NAVIGATION RIDES)

Placings	Number of Competitors							
	1-4	5-8	9-12	13-16	17-20	21-24	25-28	29-32
1st	2.50	4.33	5.25	5.80	6.17	6.43	6.63	6.78
2nd	0.00	2.17	3.50	4.35	4.93	5.36	5.68	5.93
3rd	0.00	0.00	1.75	2.90	3.70	4.29	4.73	5.08
4th	0.00	0.00	0.00	1.45	2.47	3.21	3.79	4.24
5th	0.00	0.00	0.00	0.00	1.23	2.14	2.84	3.39
6th	0.00	0.00	0.00	0.00	0.00	1.07	1.89	2.54
7th	0.00	0.00	0.00	0.00	0.00	0.00	0.95	1.69
8th	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.89

52. ELIGIBILITY FOR PLACINGS

52.1. For Teams to be eligible for the TTT placings, three (3) Members must complete all phases or Competitions of the Event, except in Showjumping where 3 Team Members must have met the eligibility criteria in Event Rule 48.11.4. Should one Team Member be eliminated in a Team of 4, then this becomes the discard score

53. TTT BALLOT

- 53.1. The ballot to reduce the number of Teams entered into a TTT event will consist of multiple rounds where the Team entries will be placed in a 'hat' and drawn at random.
- 53.2. Where required by the Host Club, the HRC AV will produce a list of Member Clubs showing the number of financial Riding members as at the closing date of the TTT event. This will be used to determine the number of Teams each club is eligible to enter. A financial Riding member is one who has paid their HRC AV levy through the Club as at the closing date for the TTT event. (ie: senior and junior members only. This does not include financial non riding members of each Club).
- 53.3. Club's prioritisation of team entries and the number of financial riding members in each Club will determine the order of the ballot as follows:
- 20 Members or less = Team No 1 enter the 1st round ballot. Any subsequent Team entries will be placed on the final ballot.
 - 21-40 members = Team No 1 enters the 1st round ballot. Team No 2 enters the 2nd round ballot. Any subsequent Team entries will be placed in the final ballot.
 - 41-60 members = Team No 1 and Team No 2 enter the first round ballot. Team No 3 enters the 2nd round ballot. Any subsequent teams will be placed in the final ballot.
 - 61 Members or greater = Team No 1 and Team No 2 enter 1st round ballot. Team No 3 and Team No 4 enter the 2nd round ballot. Any subsequent teams will be placed in the final ballot.
- 53.4. During each round, the Host club's Team, where eligible, will not be placed into the 'hat' until after the first team is drawn in order to ensure that the number of teams accepted from the Host Club does not exceed the maximum number of teams accepted from other Clubs (Refer Event Rule 40.8.1)
- 53.5. The first Round:
- The Team that is prioritized No 1 from each Club will be selected.
 - The team that is prioritized No 2 from each Club with more than 41 financial riding members will be selected.

If this number of teams is more than can be accommodated, these Teams will be placed into the 'hat' and drawn at random until the number of places is filled.

53.6. The Second Round (if required): the ballot will comprise the following:

- The Team that is prioritised No 2 from each Club that has 21-40 financial riding members.
- The Team that is prioritised No 3 from each Club that has 41-60 financial riding members.
- The Teams that are prioritised No 3 and No 4 from Clubs with 61 financial riding members or greater.

If this number of Teams plus the Teams selected during the previous round is less than the number of teams that can be accommodated these Teams will be accepted to enter.

If the number of teams in the second round exceeds the number of places remaining, the teams eligible for the second round of the ballot shall be placed in a hat and drawn at random until remaining places are filled.

53.7. Final Round

All the Teams not selected will go into the Ballot without any priority. Teams will be drawn at random until all the places are filled.

53.8. Deleted

HRC AV Championships

54. HRC AV CHAMPIONSHIPS OVERVIEW

- 54.1. The purpose of the HRC AV Championships is to provide an opportunity for the outstanding combinations in each level, to compete against each other for the title of HRC AV Champion.
- 54.2. Championships shall be conducted annually in each of the HRC AV disciplines on a weekend nominated by the HRC AV.
- 54.3. The Host Club shall be selected by the HRC AV. The HRC AV has the right of approval for all Championship events.
- 54.4. The Championships shall be run in accordance with HRC AV rules
- 54.5. The HRC AV reserves the right to cancel or reschedule a planned Championship due to failure to receive a suitable expression of interest to host or due to insufficient entries.

55. HRC AV CHAMPIONSHIPS GENERAL REQUIREMENTS

- 55.1. The Host Club must offer a Championship class for each level with a maximum of 32 competitors. Additional non championship classes may be offered.
- 55.2. The Host Club must offer the following prizes per level and are encouraged to provide additional prizes sourced through sponsorship.
 - 55.2.1. Sashes (minimum 10cm fringed) or rosettes (minimum 3 ruffle 14cm diameter with 3 tails) to 8th place in all sections. Showing excepted.
 - 55.2.2. Suitably inscribed rug for Champions.

56. HRC AV CHAMPIONSHIPS HOST CLUB APPOINTMENT

- 56.1. Clubs may apply to host a Championship event by submitting a written proposal to the HRC AV within 2 months of the date of the prior event. The previous Host Club is eligible to reapply.

56.2. The submission is to be signed by two members of the Club's Executive and must include the following information:

- Proposed date of event
- Proposed venue including description of facilities, arena surfaces, size of venue, overnight accommodation of horses, camping
- Experience of event committee members
- Draft budget
- Draft timetable for event
- Proposed program
- Details of scoring system and special requirements where not specified in these rules
- Other relevant information

57. HRC AV CHAMPIONSHIPS ENTRIES

57.1. Close of entries must be set at 16 days prior to the event.

57.2. All entries must be received by the prescribed closing date and must be accompanied by proof of qualifying as described in rule 58.

57.3. All entries satisfying the entry requirements are to be accepted however, should entries exceed places available, places in the draw shall be determined using the process covered in Rule 59.

57.4. At events where an official competition of the same discipline is held in addition to the Individual Championship, competitors may compete in the Individual Championship or the official competition but not both.

58. HRC AV CHAMPIONSHIPS QUALIFYING REQUIREMENTS.

58.1. The qualifying requirements for the relevant discipline must be satisfied in the 12 month period prior to the close of entries for the Championships (ie: Qualifying Period). All entries must be accompanied by a copy of the combination's performance card showing proof of qualifying. In Dressage only, entrants are also required to provide copies of tests as per rule 60.1

- 58.2. Should combinations achieve further qualifying results between submission of entry and close of entries, the onus is on the entrant to notify the organiser prior to close of entries.
- 58.3. Should a combination which has been included in the draw advance to a higher level in the weeks following close of entries, they shall be eligible to be included in the draw at the new level, provided proof of qualifying at the new level can be satisfied. Inclusion in the draw at the new level is subject to positioning according to the qualifying requirements and available space in the draw. .
- 58.4. **Riders may not compete at a lower level with penalties.**

59. HRC AV CHAMPIONSHIPS COMPILATION OF DRAW

- 59.1. Should entries exceed places available, combinations with the higher number of **performance points earned over the qualifying period** shall be accepted.
- 59.2. Should entries exceed places available after application of 59.1, the combinations with the **highest number of points earned at the Championship level** in the qualifying period shall be accepted.
- 59.3. Should entries exceed places available after application of 59.2, those combinations on equal points at the Championship level shall be placed in a 'hat' and **drawn at random** to fill the remaining places. Any combinations remaining in the 'hat' shall be drawn at random to determine their order on the waiting list used to fill places in the event of scratchings.
- 59.4. Once the field for the Championship has been established, riding order shall be determined by random draw.

60. HRC AV CHAMPIONSHIPS QUALIFYING CRITERIA

- 60.1. DRESSAGE - Combinations to have earned a minimum of 10 points (levels 1,2,3 & 4) or 5 points (levels Advanced and 5) in official HRC AV Dressage competitions AND must have scored a minimum of 65% (levels 5, 4, 3 & 2) or 60% (level 1 & Advanced) on at least TWO occasions in official Dressage competitions during the Qualifying Period. The tests must have been ridden at two separate official HRC AV Dressage competitions *at the level being contested at the Individual Championship.* .
- 60.2. SHOWING - Combinations to have earned a minimum of 30 points (levels 2, 3 & 4) or 20 points (levels Advanced, 1 & 5) in official HRC AV Show classes during the Qualifying Period. At least 10 points to have been earned competing at the Championship level entered.

- 60.3. SHOW JUMPING - Combinations to have earned a minimum of 10 points (levels 2, 3 & 4) or 5 points (levels 5, 1 & Advanced) in official HRCVA Showjumping classes during the Qualifying Period. At least 4 points to have been earned competing at the Championship level entered.
- 60.4. HORSE TRIALS - Combinations to have earned a minimum of 1 point in official HRCVA Horse Trials during the Qualifying Period in the Championship level entered.
- 60.5. COMBINED TRAINING - Combinations to have earned a minimum of 8 points (levels 3,4 & 5) or 5 points (levels 1 & 2) in official HRCVA Combined Training during the Qualifying Period. At least 4 points to have been earned competing at the Championship level entered.
- 60.6. NAVIGATION RIDES - Combinations to have earned a minimum of 2 points in official HRCVA Navigation Rides.

61. HRCVA CHAMPIONSHIPS SCORING

61.1. DRESSAGE

- 61.1.1. The Individual Championship event shall be run as a Jackpot competition. Should the Jackpot scoring system fail to separate equal winners, a ride off shall be conducted with equal winners riding the harder test again, under both judges. Should the ride off fail to determine the Individual Champion, each combination shall be declared equal winners.

61.2. SHOWING

- 61.2.1. Points shall be allocated in line with the Showing performance points system for all classes.
- 61.2.2. In the case of equality of points, the combination earning the highest number of points in the Championship classes shall be declared the winner.
- 61.2.3. Should application of 61.2.2 fail to determine the result, the combination placing highest in the Ridden Mount class shall be declared the winner.

SCORING FOR OTHER DISCIPLINES TO BE DETERMINED BY THE HOST CLUB subject to HRCVA approval

62. HRC AV CHAMPIONSHIPS SPECIAL REQUIREMENTS

62.1. DRESSAGE

- 62.1.1. The final two tests per level shall be used.
- 62.1.2. Two judges shall preside in the Levels 2, 1 and Advanced Championship classes. The number of judges used in lower levels is at the discretion of the host Club. Accredited HRC AV Dressage Judges are to be used for all levels.

62.2. SHOWING

- 62.2.1. Only official Showing classes are to be included on the Individual Championship program
- 62.2.2. Classes shall be split and judged in divisions if numbers warrant. Classes may not be divided and judged separately.
- 62.2.3. Standard Champion and Reserve Champion awards shall be determined from the winners (and eligible second place getter) of each group of classes.
- 62.2.4. Ribbons shall be awarded to 6th place. The winner of each class shall be awarded a commemorative sash which describes the Event, class and level.

eg: HRC AV SHOWING CHAMPIONSHIPS - Smartest on Parade – Level 4

SPECIAL REQUIREMENTS FOR OTHER DISCIPLINES TO BE DETERMINED BY THE HOST CLUB subject to HRC AV approval.

Event Cancellation or Modification

63. EVENT CANCELLATION, SUSPENSION OR MODIFICATION

- 63.1. In cases of equipment/technical failure, external disturbance, extreme weather condition or other extreme circumstances which interfere with the competition and constitute a clear health and safety risk to participants and/or the welfare of horses, the presiding Judge, Ground Jury, HRC AV Representative or Technical Delegate has the authority to immediately suspend competition under their control until such time the risk has been addressed or has passed.
- 63.2. If extreme weather conditions present a clear danger to participants, Event organisers are required to suspend, cancel, postpone or modify the format of the event. Guidelines for Clubs considering event modification or cancellation due to adverse weather conditions are covered on page 12 – 36 (Guidelines for member Clubs – guideline 50) of the Manual. Where Clubs are forced to cancel or modify events due to unforeseen circumstances, the following rules shall apply:
- 63.2.1. Where an event is cancelled or modified more than 48 hours prior, all reasonable steps are to be taken to notify competitors and officials of the changes. Should an event be cancelled or modified less than 48 hours prior, organisers must also notify all pre entered competitors and all officials in person via telephone or SMS.
- 63.2.2. Where a cancelled event cannot reasonably be rescheduled within 12 months, the organisers are required to refund all or part of the entry fees. Up to 30% of the entry fee may be retained by the Club to cover costs incurred. Refund of entry fees for modified events or commenced events is at the discretion of the organising committee.
- 63.2.3. Where a commenced event is cancelled, performance points will be allocated for placings in completed classes as per Event rule 24.1.2. Events which are modified to remove phases shall be deemed unofficial unless prior approval has been granted by the HRC AV.

Yellow Warning Card System

64. OVERVIEW

- 64.1. Technical Delegates (TD) and HRCAV Representatives (HRCAV Reps) in attendance at Horse Trials and major HRCAV events have the authority to address offences of misconduct, dangerous riding and horse abuse occurring at the event and may issue a Yellow Warning Card to the member concerned. A Recorded Verbal Warning may be issued where the offence is considered to be of a less serious nature.
- 64.2. Yellow Warning Cards may also be issued after the event by the HRCAV Committee in accordance with the HRCAV Complaint Handling Rules.
- 64.3. The HRCAV shall maintain a record of all Yellow Warning Cards, Recorded Verbal Warnings issued.

65. DEFINITION OF OFFENCES

- 65.1. **Dangerous Riding:** Any Rider who, at any time during the competition deliberately or unintentionally by incompetence is exposing himself, his horse or any third party to a higher risk than what is strictly inherent to the nature of the Competition will be considered to have acted dangerously and will be penalised accordingly to the severity of the infringement.
- 65.2. **Abuse of Horse:** Abuse of horse means an action or omission which causes or is likely to cause pain or unnecessary discomfort to a Horse. Refer to Event rule 38 for examples of abuse.
- 65.3. **Misconduct** any breach of the Code of Conduct occurring at an event including but not limited to:
- a) Not following the instructions of the Officials,
 - b) Behaviour or use of language in a manner which may be considered offensive,
 - c) Failing to lodge and Incident Report with the Event Secretary following a fall

66. ADDRESSING OFFENCES UNDER THE YELLOW CARD SYSTEM

- 66.1. Incidents not directly witnessed by a Technical Delegate or HRCAV Representative are to be reported to these officers as soon as practicable. Where possible the report should be supported by a statement from one or more witnesses and/or other forms of evidence. The Technical Delegate or HRCAV Representative should satisfy themselves that the offence has occurred as reported.

- 66.2. Any act or series of actions that in the opinion of the Technical Delegate or HRC AV Representative can be defined as misconduct, horse abuse or dangerous riding (except during the cross country phase at Horse Trials) will be dealt with by one or more of the following provisions on the day of the event. The minimum penalty for abuse of a horse is elimination from the event.
- a) Recorded Verbal Warning.
 - b) Yellow Warning Card.
 - c) Elimination.
 - d) Disqualification.
- 66.3. Penalties for dangerous riding during the cross country phase at Horse Trials events are listed under CT/HT rule 39.3.
- 66.4. Before making a determination under these rules, the person about whom the report has been made must be offered the opportunity to be heard.
- 66.5. All Yellow Warning Cards or Recorded Verbal Warnings are to be delivered by the Technical Delegate or HRC AV Representative personally to the Rider, together with an explanation as to why it is being issued and the possible consequences if any further offences are committed. For riders under the age of 18 years, a Parent/Guardian must be present when the Yellow Warning Card or Recorded Verbal Warning is delivered.
- 66.6. Where it is not possible to comply with 66.4 and 66.5 above, the matter may be referred to the HRC AV by the Technical Delegate or HRC AV Representative for further action under the Complaint Handling rules. Names of witnesses are to be provided.
- 66.7. Riders issued with a Yellow Warning Card on the day of the event may lodge an appeal with the HRC AV within 2 working days where it can be shown that there was a breach of process or upon presentation of new evidence. Refer to Complaint Handling rule 3.3.5.
- 66.8. The Technical Delegate and/or HRC AV Representative will advise the HRC AV Committee of the issue of ALL Yellow Warning Cards or Recorded Verbal Warnings and the reason for the issue within 2 working days of the event, including the name of the Official who issued the Warning and their capacity at the event.
- 66.9. A rider receiving two Yellow Warning Cards for the same offence within a 12 month period, will incur disqualification from competition (any HRC AV discipline) for three months from the date of the second offence.

- 66.10. The Technical Delegate or HRC AV Representative will check the list of disqualified riders to ensure disqualified riders do not compete.
- 66.11. Additional penalties for infringements addressed under the Yellow Warning Card system may be imposed after the event by the HRC AV in accordance with the Complaint Handling rules.

67. ELIMINATION ON SAFETY OR WELFARE GROUNDS

- 67.1. The Presiding Judge, HRC AV Representative, Technical Delegate or any member of the Ground Jury may eliminate a combination from a class at any stage of the competition on the grounds that their continued participation is likely to endanger themselves or others at the event or compromise the welfare of the horse. The rider and Event Secretary shall be notified of the elimination as soon as is practicable. Further participation at the event shall be at the discretion of the Ground Jury. Determinations made under this rule are not open to appeal. Riders eliminated under this rule by an HRC AV Representative or Technical Delegate officiating at the event are to receive a Yellow Warning Card or Recorded Verbal Warning.

67.1.1. Horse are not permitted to compete in HRC AV events if the horse's sensory hairs have been clipped and/or shaven or in any other way removed unless individual sensory hairs have been removed by a veterinarian to prevent pain or discomfort for the horse. Sensory hairs that must be clipped, shaven or removed to allow veterinary treatment are exempt from the rules (veterinary certificate required). Sensory hairs and defined as hard hairs located on the horse's muzzle and around its eyes, also known as whiskers, that are used for sensation. (This rule comes into effect on 1st July 2022) :

- 67.2. **LOSS OF HELMET:** Should a rider lose their helmet whilst competing they are immediately eliminated from that class or phase

68. UNSOUND HORSES

- 68.1. Any horse considered unsound by a Judge/Ground Jury/Vet will not be allowed to continue in any the Competition unless this decision is over-ruled by the Vet.

69. FALL OF HORSE OR RIDER

- 69.1. A fall of rider or horse whilst in the competition arena or on the competition course, whether the rider has commenced competing or not, shall result in elimination from the class. In Dressage, this rule applies from the Judge's signal to start the test until the competitor departs the arena at A following completion of the test.
- 69.2. The Ground Jury, Technical Delegate or HRC AV Representative may eliminate a rider or horse from the event at any time following a fall, on the grounds that their further participation risks the health and safety of the rider or the welfare of the horse.

69.3. All rider falls occurring at the event venue must be reported to the Event Office. An Incident Report form is to be lodged with the Event Office to facilitate immediate assessment of the rider's condition by the Event Organisers, Technical Delegate or HRC AV representative in consultation with the first aid officer. Riders are not permitted to remount or leave the venue until the assessment has been undertaken. In accordance with the annual membership disclaimer statement, injured riders may be provided with evacuation, first aid and/or medical treatment. Failure to consent to assessment or medical evacuation/treatment will be cause for immediate elimination and a mandatory Yellow Card or disciplinary hearing.

69.4. A fall of rider is considered to have occurred if any part of the rider's body makes unintentional contact with the ground or any solid surface. A horse is considered to have fallen when the shoulder and quarters have touched the ground or an obstacle (in jumping) and the ground.

70. CONCUSSION

70.1. All riders are to be assessed for signs of concussion following a fall. A rider exhibiting any of the symptoms listed in the table below may not remount under any circumstances and shall be provided with assistance by a person nominated by the organising committee. The nominated person shall assist with the rider's horse and equipment, organise transport to a medical facility or the concussed rider's place of residence as per the advice of medical personnel/first aid. At no stage shall the concussed rider be permitted to drive a vehicle.

70.2. Any rider that is, in the opinion of medical/first aid personnel, suffering from concussion, shall be issued with a Concussion Injury Advice (Appendix 15) prohibiting them from participating in any ridden activity for a period of 21 days. The Concussion Injury Advice Card is to be given to the person assisting the injured rider and is to be left with the injured rider. This ban may be lifted after 6 days upon presentation to the HRC AV of a medical certificate stating the rider is fit to resume riding activities.

70.3. All suspected or confirmed concussions are to be reported to the HRC AV on an Incident Report form for recording on the rider's **file**.

Critical symptoms/signs	Obvious symptoms/signs	Subtle symptoms/signs
<p>Neck pain</p> <p>Increasing confusion, agitation or irritability</p> <p>Repeated vomiting</p> <p>Seizure or convulsion</p> <p>Weakness or tingling/burning in the arms or legs</p> <p>Deteriorating conscious state</p> <p>Severe or increasing headache</p> <p>Unusual behavioural change</p> <p>Double vision</p>	<p>Loss of consciousness</p> <p>No protective action in fall to ground directly observed or on video</p> <p>Seizure or jerky movements after a knock</p> <p>Confusion, disorientation</p> <p>Memory impairment</p> <p>Unsteady on feet or balance problems</p> <p>Athlete reports significant, new or progressive concussion symptoms</p> <p>Dazed or looking blank/vacant</p> <p>Changed behaviour – may be more irritable, agitated, anxious or emotional than normal</p>	<p>Headache</p> <p>‘Pressure in the head’</p> <p>Neck pain</p> <p>Nausea or vomiting</p> <p>Dizziness</p> <p>Blurred vision</p> <p>Balance problems</p> <p>Sensitivity to light</p> <p>Sensitivity to noise</p> <p>Feeling slowed down</p> <p>Feeling like ‘in a fog’</p> <p>‘Don’t feel right’</p> <p>Difficulty concentrating</p> <p>Difficulty remembering</p> <p>Fatigue or low energy</p> <p>Confusion</p> <p>Drowsiness</p> <p>More emotional</p> <p>Irritability</p> <p>Sadness</p> <p>Nervous or anxious</p> <p>Trouble falling asleep (if applicable)</p>

71. DESTRUCTION OF SEVERELY INJURED HORSES

- 71.1. If a horse is so severely injured that, on humanitarian grounds, it ought to be destroyed, the following procedure will apply.
- a) If the owner or his authorised representative is present, his agreement will first be obtained by the official Veterinarian.
 - b) If the owner or his representative is not available the Ground Jury, acting on the advice of the official Veterinarian, may order the destruction of a horse.

72. USE OF VEHICLES AT EVENTS

- 72.1. Where the use of quad bikes, ATVs, tractors or similar vehicles is essential for operational purposes at HRCVAV events, the following requirements apply:
- 72.1.1. The maximum permitted speed of the vehicle is 6km per hour (walking pace).
 - 72.1.2. The driver shall be experienced in the operation of the vehicle.
 - 72.1.3. The driver shall wear an ASA approved helmet (equestrian helmet accepted) and hi viz vest.
 - 72.1.4. The vehicle shall be properly maintained, in good mechanical repair, and used according to the manufacturer's instructions.
 - 72.1.5. Every effort must be made to maintain a distance of 50m from horses when operating the vehicle.
 - 72.1.6. Where practicable, the driver is required to stop the vehicle and switch the motor off to address immediate concerns that a horse is reacting negatively to the vehicle.
 - 72.1.7. The driver must give way to horses and pedestrians at all times.
 - 72.1.8. Only the driver is permitted on the vehicle. Passengers are not allowed.
 - 72.1.9. The onus is on the driver to assess the suitability of the terrain at each event prior to driving the vehicle and to avoid operating the vehicle on unsuitable terrain.
 - 72.1.10. Owners are to ensure that third party liability cover is valid for use of the vehicle at HRCVAV events.
- 72.2. The use of trail bikes is not permitted at HRCVAV events.

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- 1 Program Checklist for Official HRC AV Events
- 2 Competition Results Sheet (Except Showing)
- 3 Deleted
- 4 Example of Completed Competition Results Sheet
- 5 TTT Qualifying Log
- 6 Individual Entry Form
- 7 Team Entry Form
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- 9 Code of Conduct Stallion Owners/Riders
- 10 Swabbing Protocols
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- 12 Application to use Non Accredited Jumping Official
- 13 Crisis Management Procedure

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