# HORSE RIDING CLUBS ASSOCIATION OF VICTORIA INC.

(A0002667H)

# LEVEL ASSESSMENT Rules

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Includes all rule amendments up to and including those which came into effect on 1<sup>st</sup> July 2020



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# Section 4 Level Assessment Rules

## 1. WHAT IS LEVEL ASSESSMENT?

- 1.1. In order to stimulate equestrian sport it is necessary to have meaningful competition between Competitors of similar abilities, to encompass the range between the less competent and the most competent. Within the HRCAV, this is referred to as Level Assessment.
- 1.2. Level Assessment is the vehicle by which all members of the HRCAV have access to fair and safe competition. This system provides a means for members of similar ability to compete together in fair and equal competition.
- 1.3. Level Assessors, their addresses and phone numbers can be found in Level Assessment Appendix 1.

#### 2. COMPETITION LEVEL ASSESSMENT

- 2.1. Assessment is compulsory for those members wishing to compete in Official Events.
- 2.2. The minimum period between assessment for a Combination is three months unless a Level Assessor (eg. assessment at an Event) initiates assessment.
- 2.3. Members may request to be assessed in any or all of the following disciplines, but their Level may not necessarily be the same in each discipline:
  - a) DRESSAGE
  - b) SHOW-JUMPING
  - c) COMBINED TRAINING
  - d) HORSE TRIALS (EVENTING)
  - e) SHOWING

#### 2.4. The following Levels shall be allocated for

Advanced (Hardest – Dressage, Showing & Showjumping only) Level 1 (Hardest – all other disciplines) Level 2 Level 3 (Intermediate) Level 4 Level 5 (Least difficult)

- 2.5. A Level Assessor has the right to refuse to assess any Combination for any reason. Any such refusal should be submitted to the Level Assessment Sub-Committee with reasons for the refusal.
- 2.6. Both the rider and the horse shall be allocated a Level as a Combination in each assessed discipline. They will be placed into the Level relative to their experience and ability. A member may be allocated a Level on more than one horse, again as a Combination.

2.7. To be eligible for assessment a rider must be at least 8 years of age. Children under the age of 18 years are to be supervised by a parentor guardian at the time of assessment.

# 3. "SPOT" ASSESSMENT AT COMPETITIONS

- 3.1. Spot Assessments may be initiated by a Level Assessor at any time, taking into account safety and fairness when making a decision. If a Level Assessor believes a combination's level to be too high or too low, the following steps should be taken to ensure that the horse and rider are correctly assessed.
  - a) The Level Assessor must check the relevant Performance Card to review the combination's assessment and performance history
  - b) Discuss the possibility of an assessment change with the Rider
  - c) Prior to reassessment to a higher level, the Assessor must view the combination performing at least the minimum requirements of that level.
  - d) Details of Spot Assessments are to be forwarded to the HRCAV by the Assessor within 7 days on a Level Assessment form.
  - e) Combinations which reached their current level through accumulation of performance points may not be spot assessed to a lower level.
  - f) If the Assessor is unable to complete a Spot Assessment, a Level Assessment Query form should be submitted.
  - g) If the Rider is reluctant to be relevelled, the Rider may submit an appeal against the assessment.
  - In cases where an approach is made by a member seeking reassessment to a lower level, Rule 8 applies. A spot assessment may not be initiated by a member.

# 4. QUERIES OF ASSESSMENT

- 4.1. Queries of Assessment may be submitted by the following officials if they believe a combination is competing at the incorrect level, is not safe at their current level or the horse's welfare is compromised.
  - 4.1.1. the presiding judge,
  - 4.1.2. a Technical Delegate or
  - 4.1.3. any Level Assessor unable to perform a Spot Assessment of the combination

- 4.2. Level Assessment Query procedure
  - a) The Judge or Level Assessor sends a Level Assessment Query form to the HRCAV office
  - b) The query is sent to the Level Assessment Sub Committee within 3 days of receipt
  - c) The Sub Committee may decide that speaking with the relevant Judge, Level Assessor and/or Rider is adequate and that the Rider does not need to be viewed. If the Rider needs to be viewed by a Level Assessor, the costs for the Level Assessor are to be borne by the HRCAV.
  - d) The Level Assessment Sub Committee has the option to suspend a combination's current assessment where they are satisfied that safety, welfare or fairness issues cannot be otherwise addressed. Applications from the rider for reinstatement of a suspended assessment shall be considered by the Level Assessment Sub Committee. Any determinations made under this rule are to be endorsed by the Executive Committee and are not open to appeal. A combination must not compete in the relevant discipline/s while their assessment is suspended.

#### 5. MEMBERS WITH DISABILITIES

- 5.1. Only members who meet the minimum competency and safety requirements for the appropriate level per discipline may be assessed for competition.
- 5.2. Compensatory aids or rule concessions which allow the combination to compete on equal terms at the appropriate level are permitted upon approval by the HRCAV. Refer to General Rule 13.
- 5.3. Exemptions must be approved prior to the member seeking assessment. An HRCAV Exemption Certificate must be produced upon request at the time of level assessment.
- 5.4. It is recommended that members with disabilities use the services of Level Assessor approved by the HRCAV to assess riders with disabilities.
- 5.5. Upon request of a Level Assessor, members are required to make arrangements for a suitably qualified RDAV representative to be present at the level assessment to provide assistance and advice. Contact details are available from the HRCAV office. Associated costs are to be borne by the member

### 6. LEVEL ASSESSMENT EXPENSES

6.1. The Level Assessor is entitled to reimbursement of expenses. Appropriate reimbursement is \$15.00 per combination for a minimum of four combinations, or \$60.00, whichever is the greater amount, plus the cost of travel to and from the venue. Travel is calculated at 80 cents per kilometre. The Club should provide lunch if the assessment period falls over the lunch period of the day.

#### 7. LEVEL ASSESSMENT APPEAL PROCEDURE

- 7.1. Members are required to abide by the decisions of Level Assessors and must provide performance cards for validation within 14 days. Should a member fail to provide their cards for validation, the Level Assessor shall notify the Level Assessment Sub Committee via the HRCAV office and action will be taken to suspend said member from competition until the matter is resolved.
- 7.2. If a member is dissatisfied, for any reason, with the Level at which they have been assessed then the member must submit a completed Level Assessment Appeal/Re-Levelling Form to the HRCAV within 14 days of the assessment. The form is contained in Appendix 4 of this section of the Manual.
- 7.3. The form must be accompanied by a cheque for the appropriate fee made payable to the HRCAV. If the appeal is upheld, the fee will be refunded.
- 7.4. The HRCAV will notify the Appellant on receipt of the appeal and state in such letter that the new assessment is under appeal and the member is authorised to compete at their "old" assessment until the dispute is resolved. This letter will be sent to the appealing member within 48 hours of receipt of the appeal.
- 7.5. The form will be passed to the Level Assessment Sub-Committee for review and investigation.
- 7.6. If the Level Assessment Sub-Committee feel the Appellant should be viewed by another Level Assessor, then they will advise the member and the minimum Level Assessment fee will be payable by the member to the viewing Level Assessor. This must be organised by the member within 14 days of notification.
- 7.7. The decision of the Level Assessment Sub-Committee is final and will be communicated to the appealing member.

#### 8. RE-ASSESSMENT TO A LOWER LEVEL

- 8.1. Re-assessment to a lower Level of currently assessed Combinations may be permitted in exceptional circumstances. Requests for reassessment must be submitted for consideration to the Level Assessment Sub-Committee, via a Level Assessor, on the form contained in Appendix 4 of this Manual. All relevant performance cards must be submitted with the application.
- 8.2. Combinations that have earned points up to the next Level may not apply to be re-assessed to a lower Level.
- 8.3. Re-assessment to a lower level may only be applied for once per Combination per discipline.
- 8.4. The decision of the Level Assessment Sub-Committee is final, and will be communicated to the member and Level Assessor concerned.

#### 9. LEVEL ASSESSMENT EXCLUSIONS

- 9.1. Horses unbroken to saddle cannot be assessed.
- 9.2. Horses under four years of age cannot be assessed for Showjumping, Combined Training, Horse Trials or Navigation Rides. Horse under three years of age canot be assessed for Dressage or Showing.

#### 10. COMBINED TRAINING

- 10.1. When assessing for Combined Training the following requirements shall be observed:
  - 10.1.1. Assessment for this discipline will reflect the combination's Dressage and Jumping ability.
  - 10.1.2. At initial assessment, a combination's Combined Training level will not be lower than their Horse Trials level. Should a combination subsequently advance to a higher level in Horse Trials, rule 12 shall apply.
  - 10.1.3. The combination must also be assessed for Dressage or have their current Dressage assessment reviewed. This requirement also applies to Horse Trials assessments. The combination's Dressage level is used to determine the modifying mark in the Combined Training and Horse Trials scoring system.

## 11. RIDERS ASSESSED ON MORE THAN ONE HORSE

Note: Rules 11.1 to 11.7 are applied at the time of assessment

- 11.1. Any Rider who has been assessed or points up to level 1 or above in a specific discipline should not be assessed lower than Level 3 in that discipline.
- 11.2. Any Rider who has been assessed or points up to Level 2 in a specific discipline should not be assessed lower than Level 4 in that discipline.
- 11.3. Any Rider who has been assessed or points up to Level 3 in a specific discipline should not be assessed lower than Level 4 in that discipline.
- 11.4. Any Rider who has been assessed no higher than Level 4 in a specific discipline can be assessed Level 5 in that discipline.
- 11.5. A Level Assessor may, in exceptional circumstances, assess outside Rule 11 provided full justification is attached to the Level Assessment Form. Any variance to the Rules will be referred to the Level Assessment Sub-committee.
- 11.6. In line with rule 11.1, riders who have competed at an equivalent to level 1 or above in non HRCAV competition should not be assessed lower than level 3 in that discipline unless such an assessment is justified by exceptional circumstances. Reasons for assessment of experienced riders at level 4 or lower must be noted on the LA form for review by the Level Assessment Sub Committee.
- 11.7. Combinations ineligible for assessment under these rules may be assessed to ride Hors Concours at a lower level. In Jumping disciplines only, the combination may be assessed to compete at a lower level with penalties in line with conditions covered in Event rule 5.5. The combination's performance card must be noted accordingly and assessment details recorded on the Level Assessment form.

#### 12. REVIEW OF ASSESSMENTS

- 12.1. A rider who progresses to a higher level in any discipline on any horse is required to ensure that their assessments in other disciplines or on other horses still comply with Level Assessment rules. Adjustment of related assessments is at the discretion of a Level Assessor and the Assessor will determine whether the rider is competent at their new level as part of the review process. For example: a rider who points up to level 1 Horse Trials but is not competent at that level, may be permitted to remain in level 4 on another, less experienced horse.
  - 12.1.1. Review by a Level Assessor is required when assessments on other horses are in conflict with rule 11.
  - 12.1.2. Review by a Level Assessor of a combination's Combined Training level is required when they advance a level in Dressage, Show Jumping or Horse Trials

12.2. Reviews of other disciplines shall be undertaken at the discretion of the Level Assessor.

## 13. LEVEL ASSESSORS

- 13.1. The allocation of Levels shall be carried out by Level Assessors who have undergone the necessary course of training and hold an Official Level Assessors Certificate.
- 13.2. A Level Assessor may not self-assess.
- 13.3. Any HRCAV member may train to become an Official Level Assessor. Interested Members should submit an "Expression of Interest" form to the Level Assessment Sub-Committee for consideration. (Refer Appendix 14 in General Rules).

The pre-requisites to become a Level Assessor include:

- a) Financial Membership of an affiliated Club.
- b) Pass a Working With Children check or be exempt from the requirement to have a WWCC
- c) Have access to a HRCAV Manual and set of HRCAV Dressage Tests
- d) Demonstrate an appropriate depth of knowledge to the level Assessment Sub-committee to safely and accurately assess all Combinations in all disciplines.
- e) Sit an open book exam and achieve a score of at least 90%.
- f) Riders who have never been assessed higher than Level 5 may attend Clinics and Accreditation Examinations to attain knowledge, however, are not eligible to become accredited.
- g) Candidates providing proof of relevant experience or qualifications may be granted an exemption from rule 13.3 e)
- 13.4. Newly accredited Level Assessors shall be subject to a probationary period covering their first 20 assessments. During this period, the Level Assessors's performance may be monitored by the Level Assessment Sub Committee. Should there be evidence that the Level Assessor's performance is not of an acceptable standard, the Level Assessment Sub Committee may take action to address issues of concern by providing further training or any other action deemed necessary.
- 13.5. To retain Level Assessor status, all Level Assessors must:
  - a) Be a financial member of an affiliated Club
  - b) Have a current WWCC card (unless exempt)
  - c) Complete an open book refresher exam every two calendar years
  - d) Attend a refresher clinic at least every two calendar years and
  - e) Carry out their duties in a professional manner and in accordance with the rules of the Association.

- 13.6. Failure to comply with any of the above requirements will result in suspension or withdrawl of Level Assessor accreditation.
- 13.7. Senior Level Assessors
  - 13.7.1. Appointment as a Senior Level Assessor may be offered to accredited HRCAV Level Assessors satisfying the following criteria:
    - Minimum 5 years service as HRCAV Level Assessor
    - Acknowledged professionalism in the conduct of their duties as a Level Assessor
    - Other significant, relevant experience as a judge, competitor or official
  - 13.7.2. Selection process
    - The nominator shall be a current Senior Level Assessor.
    - Nominations must be made in writing to the HRCAV Level Assessment Sub Committee and include supporting information/recommendations.
    - Should the Level Assessment Sub Committee support the nomination, it shall be referred to the Executive Committee for endorsement.
  - 13.7.3. Role of the Senior Level Assessor. A Senior Level Assessor:
    - is permitted to undertake assessments of Level Assessor candidates and refreshing Level Assessors at their practical judging examination,
    - may be invited to contribute to the writing and assessment of new candidate and refreshing Level Assessor exam papers

- may be invited to conduct Level Assessor Training and Exam days and
- may be invited to contribute to the review of Level Assessment rules and be called upon to provide feedback to the Level Assessment Sub-Committee on issues related to level assessment from time to time.
- 13.7.4. Senior Level Assessors will be required to undertake an open book exam every second year, and participate in a practical refresher, either as facilitator or participant.
- 13.7.5. To retain Senior status, the Level Assessor must maintain accreditation and be willing to undertake the duties listed under rule 13.7.3.
- 13.7.6. Recommendations to withdraw senior status shall be made by the Level Assessment Sub Committee and become effective upon endorsement of the recommendation by the Executive Committee. A Senior Level Assessor may resign from the role by notifying the Level Assessment Sub Committee in writing.

#### 14. ORGANISATION OF LEVEL ASSESSMENT BY A CLUB

- 14.1. When a Level Assessor is contacted by a Club to assess its Members, the Club needs to advise:
  - a) The number of Combinations to be assessed.
  - b) The number of Combinations to be assessed in each phase ie Dressage, Show Jumping, Combined Training and Horse Trials.
  - c) The average ability of the Members. If known, advise the Assessor of the Levels in which their Members are currently competing.
  - d) Facilities available to assess over Cross-Country jumps and terrain. Showjumping equipment available etc. This assists the Level Assessor to know what type of showjumping course can be built.
  - e) A contact phone number in case unforeseen circumstances. Clear instructions regarding the address and time when the assessment is to begin (a Melways reference is of assistance).
- 14.2. Each Member must present to the Level Assessor a valid Membership Card. A valid Performance Card for each discipline for each horse (if being assessed on more than one horse) should also be presented. It is the responsibility of the Rider to ensure the cards are valid.
- 14.3. If a Member does not have a Performance Card on the day, then the Member must send the card to the Level Assessor with a stamped self-addressed envelope for completion and return within 14 days of the assessment
- 14.4. The Level Assessment form is contained in Appendix 2 of this section of the Manual. It is the Level Assessor's responsibility to carry blank assessment forms and to lodge the assessment forms, within 7 days, with the HRCAV. Should the Club Secretary require a copy of the assessment form(s), the Level Assessor will either provide a carbon copy or forward a copy if provided with a stamped self-addressed envelope. It is recommended that Level Assessors retain a copy of all Level Assessment forms.

#### 15. ASSESSMENT FOR NAVIGATION RIDES

- 15.1. Riders wishing to compete in Navigation Rides are required to demonstrate that they satisfy the minimum standard of competency as indicated in Guideline 1 (G1)
- 15.2. A Level Assessor shall assess each combination for safety and competency. A combination's level is not determined at assessment.
- 15.3. The combination's Navigation Ride card shall be endorsed by the Level Assessor to indicate that they meet the required standard.

### ASSESSMENT GUIDELINES

The following section contains guidelines designed to assist in the correct assessment of combinations. While these are not rules, they should be viewed in conjunction with the Level Assessment Rules whenever a Level Assessment is being performed or reviewed.

- G1. Safety and welfare of the horse and rider is a key consideration. Riders unable to meet a minimum standard of competency should not be assessed to compete. The riders must be able to safely walk, trot, canter, turn and stop their mount. For jumping disciplines, the combination must also be able to safely negotiate a number of fences set at level 5 dimensions. For Horse Trials and Navigation Rides, the combination must also be able to control their horse in an open area.
- G2. a) When assessing an EA/Pony Club Rider on a horse that is not EA/Pony Club graded, if the Rider has ridden at an EA/Pony Club equivalent of the HRCAV Levels, they should be assessed as per Rule 11.
  - (b) When assessing an EA rider on a horse that is not EA graded/registered, if the Rider has ridden at an EA/Pony Club equivalent of the HRCAV Levels, they should be assessed as per Rule 11.

HRCAV Level	EA Dressage	EA Horse Trials	EA Show Jumping	EA Showing
Advanced	Medium		1.2m classes	Placed 1 <sup>st</sup> -3 <sup>rd</sup> at Royal/State level or competed at National level in open ridden/hunter or >18 years rider classes
Level 1	Elementary	CCN1* 105cm	1m / 1.1m classes	Competed at Royal or state level in open ridden/hunter or >18 years rider classes
Level 2	Novice	EV 95	90cm classes	Wins at AG shows in open ridden or rider classes
Level 3	Preliminary	EV 80		

G3. When assessing a CURRENT EA COMBINATION, the following minimum guidelines should be observed:

- G4. When assessing for Dressage or Showing, a Level Assessor may find some combinations perform most movements well at a higher Level but are slightly below standard for perhaps one or two of the movements. This does not mean the Combination should be assessed at the lower Level. e.g. if a Combination cannot show lengthening of stride but can ably perform most other Level 3 requirements; the Combination should be assessed Level 3.
- G5. Use of half points as per the following table is encouraged:

	TABLE OF BASE AND HALF POINTS					
APPLICABLE	APPLICABLE FOR ALL DISCIPLINES EXCEPT SHOWING & NAV RIDES					
Level	Level Base Points Half Points					
5	Minus 25	Minus 12.5				
4	0	12.5				
3	25	35				
2	45	60				
1	75	112.5 (SJ & Dressage only)				
Advanced	150 (SJ & Dressage only)	N/A				

TABLE OF BASE AND HALF POINTS					
	APPLICABLE FOR SH				
Level Base Points Half Points					
5	0	25			
4	50	87.5			
3	125	162.5			
2	200	237.5			
1	275	387.5			
Adv	500	N/A			

Note: Members are to determine their own level for Navigation Rides as per Navigation Ride rules. Base points for level 5 = 0

# TABLES OF HEIGHTS, WIDTHS AND SPEEDS

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#### TABLE 1 SHOWJUMPING HEIGHTS, WIDTHS AND SPEEDS

17. DESCRIPTION	Adv	Level 1	Level 2	Level 3	Level 4	Level 5
Maximum Height cm	110*	105	95	80	65	50
Maximum Width cm	120	110	100	80	65	50
Speed in small arena (less than 2400M2) mpm	300	300	275	275	275	250
Speed in large arena mpm	325	325	300	300	275	250
Distance from start to first fence & last fence to finish m		all levels	)			

Note \* Advanced level: maximum height for jump off of 2<sup>nd</sup> and subsequent classes: 115cm

These speeds may be lowered to allow for ground and/or weather conditions.

### 18. TABLE 2 HORSE TRIALS HEIGHTS, WIDTHS AND SPEEDS

LEVEL	MAX HEIGHT CROSS COUNTRY PHASE	MAX WIDTH At highest point CROSS COUNTRY PHASE	SPEED METRES PER MINUTE	MAX HEIGHT SHOW- JUMPING PHASE	MAX WIDTH SHOW- JUMPING PHASE	SPEED METRES PER MINUTE
Level 5	500mm	500mm	300 mpm	500mm	500mm	250 mpm
Level 4	650mm	650mm	350 mpm	650mm	650mm	275 mpm
Level 3	800mm	800mm	400 mpm	800mm	800mm	300 mpm
Level 2	950mm	1000mm	450 mpm	950mm	1000mm	300 mpm
Level 1	1050mm	1200mm	500 mpm	1050mm	1100mm	325 mpm

Spread without height fences may be wider. Refer CT/HT Rules for comprehensive table of dimensions.

#### 19. TABLE 3 COMBINED TRAINING HEIGHTS, WIDTHS AND SPEEDS

20. DESCRIPTION	Level 1	Level 2	Level 3	Level 4	Level 5
Maximum Height cm	105	95	80	65	50
Maximum Width cm	110	100	80	65	50
Speed in small arena (less than 2400M2) mpm	300	275	275	275	250
Speed in large arena mpm	325	300	300	275	250
Distance from start to first fence & last fence to finish m	6-25 (all	levels)			

These speeds may be lowered to allow for ground and/or weather conditions.

# 21. EXPLANATION OF LEVEL REQUIREMENTS

# PREAMBLE

When assessing combinations for competition, the prime considerations are safety and fairness. In each level, there will be combinations which are at the beginning stage, consolidating stage and established stage. Combinations which are considered to be established but not yet ready for the next level should be assessed on half points. The *Expectations* are a guide to what you would expect to see at that level. The *Requirements* listed are tasks which the comination will be required to attempt in competition. The overall standard of the combination is assessed using the Expectations and Requirements as a guide to ascertain the level of experience and ability.

<u>Note on 2013 Dressage Tests:</u> the final test of each level is designed to test readiness to progress and include some movements found in the higher level. These more difficult movements are not included in the list of Requirements for the level. Combinations which can perform the requirement of the final test of each level competently and confidently should be considered for assessment at the higher level.

# LEVEL 5 - DRESSAGE

#### PREAMBLE:

This Level is for inexperienced combinations with minimal competition experience. It enables them to enjoy competition against combinations of a similar ability and to develop the ability to perform simple movements calmly and obediently. Experienced Riders training green/young horses MUST NOT be assessed at this Level. A Rider is considered too capable for Level 5 if they **have ever been assessed Level 3 or above in this discipline**.

To be assessed at base Level 5 for Dressage or Showing, riders will be required to demonstrate that they can walk, trot, canter, turn and halt their mount with a degree of balance and control which would allow them to satisfy the requirements of the level.

1. When <i>commencing</i> at this Level, Combinations:	2. Level 5 Requirements
<ul> <li>may have an unsteady position and ineffective, obvious and/or</li> </ul>	<ul> <li>Execute changes of pace – halt, walk, trot and canter</li> </ul>
<ul><li>uncoordinated used of the aids.</li><li>may be unable to ride a straight</li></ul>	<ul> <li>Hold immobility at halt for 3 seconds</li> </ul>
line or executve accurate figures.	<ul> <li>Execute canter depart from a corner</li> </ul>
<ul> <li>may be unable to recognise</li> <li>correct diagonal at tot or</li> </ul>	Ride circles 20m at trot
correct diagonal at trot or leading leg at canter	<ul> <li>Transitions should be within 3 strides of the marker</li> </ul>
<ul> <li>may be unable to perform a square halt either front or back</li> </ul>	Transitions into and out of halt
<ul> <li>may be unable to maintain a steady rhythm</li> </ul>	through walk

# **LEVEL 5 - SHOWING**

#### PREAMBLE:

The Assessor should take into consideration the quality (eg. conformation, presence and general condition) of the horse when assessing the Combination.

This Level is for inexperienced Combinations with minimal competition experience. It enables them to enjoy competition against Combinations of a similar ability. Experienced Riders training green/young horses MUST NOT be assessed at this Level. A Rider is considered too capable for Level 5 if they have ever been assessed Level 3 or above in this discipline.

3. When <i>commencing</i> at this Level, Combinations:	4. Level 5 Requirements
<ul> <li>may have an unsteady seat and show ineffective, obvious and/or uncoordinated use of the aids</li> </ul>	<ul> <li>Execute a basic workout</li> <li>Execute changes of pace when asked– halt, walk, trot and</li> </ul>
<ul> <li>may be unable to recognise correct diagonal at trot or leading leg at canter</li> <li>may be unable to perform a square halt either front or back</li> </ul>	<ul><li>canter</li><li>Attempt circles at trot and canter</li><li>Be in control of their horse</li></ul>
<ul> <li>may show an unsteady rhythm in the paces</li> </ul>	
<ul> <li>may have limited knowledge of ringcraft</li> </ul>	
<ul> <li>may have only basic knowledge of presentation</li> </ul>	

# **LEVEL 5 - SHOWJUMPING**

#### PREAMBLE:

As a guide, only Level 5 Dressage Riders who are almost ready to move up to Level 4 Dressage would appear to have a steady enough seat, hands and balance to be considered safe to showjump at this Level. Riders should be encouraged to use a martingale or neck strap, where appropriate.

Some Combinations assessed higher in Dressage might possibly be assessed at this Level, if they have no previous showjump training or experience.

Level Assessment is for competition purposes and not training; competent Riders wishing to train a green horse at this Level must be prepared to compete hors concours. A Rider who has not been higher than Level 4 in this discipline during their riding career can be assessed on another horse at Level 5 on half points.

5.	The Rider Should Be Able To	6.	Not Required
•	maintain reasonable balance over fences	•	Canter over obstacles Correct approach
•	jump then bring the horse back to walk or trot	•	Change leads
•	use a neck strap effectively to protect horse's mouth	•	Steady paces Two point position
•	guide the horse around the course to each consecutive jump	•	Correct eye contact
•	show a basic knowledge of showjumping rules		

# LEVEL 5 - CROSS-COUNTRY

#### PREAMBLE:

It is preferable to assess showjumping prior to the cross-country. If the Combination is not deemed safe showjumping and the Level Assessor is unwilling to assess them Level 5 for this discipline, then they cannot be asked to jump in the open. Preferably, observe the Rider in the open at a canter but do not pressure the Rider into a pace faster than at which they are comfortable - this Level of Rider may ride cross-country at trot if they wish. Utilise small logs, sloping terrain and perhaps water puddles to establish the Combination's confidence. Level 5 is expected to go through water, eg a stream crossing. It is recommended that a Rider who cannot maintain enough control to stop their horse when asked should not be assessed for cross-country.

# Any Rider who has been assessed higher than Level 4 in this discipline during their riding career should not be assessed at Level 5.

# LEVEL 4 - DRESSAGE

#### PREAMBLE:

This Level is the next step up for Level 5 Combinations. The combination's ability has improved to enable them to show a steadier, more accurate test. The rider will have better balance and control but may not be achieving a steady connection. In level 4, the horse should be allowed to work forward in a natural rhythm with minimal interference from the rider. Relaxation and steadiness of rhythm should be developing but loss of balance and/or suppleness may affect the quality of the work. Transitions may be unbalanced and not at the markers. Sitting trot is not required and would be difficult for horse and rider to perform. Horse and/or rider may lack competition experience.

The main aim of this Level is to show a horse willing to move freely forward in a steady rhythm and showing acceptance of the basic aids. The rider should attempt correction of incorrect diagonals and leading legs in canter.

When commencing at this level, combinations

- Should show more balance than Level 5,
- May be unbalanced during transitions, or transitions may be unsteady and not at the marker
- Paces may be unsteady in rhythm

7.	Level 4 Expectations	8.	Level 4 Requirements
•	The horse should be going forward willingly and calmly	•	Maintain immobility at halt for 4 seconds
•	Transitions should be within two strides of the marker	•	Execute canter depart from a corner with correct lead
•	Halt should be square in front but not necessarily behind	•	Ride circles, 20m at trot and canter
•	Corners should be ridden on a 15m diameter curve	•	lengthening of the rein to enable
•	Should be able to ride correct diagonal, or correct when wrong		horse to stretch neck Change of rein on short
•	Should be able to ride a reasonably accurate 20m circle at trot or canter		diagonal in trot Working trot and working canter
•	Should attempt to ride accurately on the track		
•	Transitions into and out of the halt will be through the walk		

# LEVEL 4 - SHOWING

#### PREAMBLE:

The Assessor should take into consideration the quality (eg. conformation, presence and general condition) of the horse when assessing the combination.

The Rider will show reasonable balance. The horse should show acceptance of the basic aids but may not be ready to work on the bit. The horse should be working forward willingly and calmly in a natural outline. Contact may be unsteady and transitions will be progressive and lack balance. The rider is not required to show sitting trot but should attempt correction of incorrect diagonal and leading legs. Riders who have competed in open showing would generally be considered too experienced for this level.

9.	Level 4 Expectations	10.	Level 4 Requirements
•	The horse should be going forward willingly and calmly	•	Execute canter depart on the correct lead
•	Halt should be square in front	•	Should be able to ride a
•	Should be able to ride correct		reasonably accurate workout
	diagonal, or correct when wrong		Execute change of pace when
•	Standard of presentation		asked
	improving	•	Execute transitions into and out
•	Have an understanding of Ringcraft		of the halt through the walk

# **LEVEL 4 - SHOWJUMPING**

#### PREAMBLE:

The Rider has control at walk, trot and canter, negotiates a course with some fences at maximum height and/or width and is able to control the horses pace around a course of jumps. This Level of Combination gives an impression of a safe, happy team.

Half point assessments must be applied in the case of borderline assessments.

11.	The Rider Should Be Able To	12.	Not Required
•	show a steady, forward seat over the fences	•	correct leading leg maintain contact over fences
•	not interfere with the horse	•	shorten and lengthen stride
•	attempt to place horse at centre of jump		Ŭ
•	look ahead to next obstacle (eye contact)		
•	maintain balance and control through a combination		
•	show a good understanding of the Rules		

# LEVEL 4 - CROSS-COUNTRY

13.	The Rider Should Be Able To	14.
•	travel across undulating ground in a controlled and safe manner	<ul> <li>show a good understanding of the Rules</li> </ul>
	demonstrate a general knowledge of how to approach different types of obstacles	<ul> <li>have a steady seat and hands</li> <li>show two point position in canter</li> <li>negotiate an appropriate water</li> </ul>
	maintain canter cross-country, where appropriate to terrain show confidence	<ul> <li>obstacle</li> <li>ensure the correct fitting of cross-country gear</li> </ul>

# LEVEL 3 - DRESSAGE

#### PREAMBLE:

At this level, the rider will be establishing an independent seat allowing more effective use of the aids and steadier paces. Combinations should be working towards consolidation of rhythm, suppleness and acceptance of the contact.

Level 3 is generally suited to an experienced rider on a less established horse, or a less experienced rider on an educated horse.

Dressage tests will be ridden in sitting and/or rising trot. Sitting trot should be reasonably steady, with the rider establishing the ability to follow the movement of the horse without interference to rhythm and contact.

With the horse's improving balance, the ability to show lengthened strides in trot is being developed. Transitions will be smoother and closer to the markers. The rider may be able to work the horse from behind into a contact, but there may still be some inconsistency in the quality fo the connection.

When *commencing* this level, combinations

- Will be starting to show acceptance of the bridle, but the horse may not be working from behind, and the contact may still be inconsistent
- Should be working in a more consistent outline however the horse should NOT be overbent or "pulled" into an outline

•	The rider should have a good understanding of ringcraft
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15.	Level 3 Expectations	16.	Level Three Requirements
•	Should be going forward willingly and calmly	•	Maintain immobility at halt for 4 seconds
•	Should show clear transitions	•	Ride straight lines
	and be closer to the marker (within one stride)	•	Ride correct circles, 15m at trot and 20m at canter
•	Should attempt to ride corners on a 10m diameter curve	•	Ride serpentines of 3 loops at trot
•	Halt should be square in front	•	Free walk on a long rein
•	May perform transitions into and	•	Working trot and canter
	out of halt through walk (2 strides max)	•	Some lengthened stride in trot
•	Should attempt a reasonably	٠	Inside rein release in trot
	steady sitting trot	•	Stretch out and down in trot
•	Should attempt to show correct bend and flexion on circles and in corners	•	Sitting trot
•	Should work in a rounder frame		

# **LEVEL 3 - SHOWING**

#### PREAMBLE:

The Assessor should take into consideration the quality (eg. conformation, presence and general condition) of the horse when assessing the Combination.

At this Level, workouts will be ridden in sitting and/or rising trot. Sitting trot should be reasonably steady without showing too much interference to rhythm and contact. The Rider should be reasonably balanced and more in time with the movement of the horse than Level 4, allowing for steadier paces and clearer response to the aids. Transitions should be reasonably smooth.

Acceptance of the bridle will be starting to show but is not yet established. The Rider may be able to work the horse from behind into a contact, but the connection may still be inconsistent. While the horse should be working in a steadier outline, it should NOT be over bent or 'pulled/held' in an outline. Level 3 is the appropriate starting level for experienced show riders on green horses.

17.	Level 3 Expectations	18.	Level 3 Requirements
•	Should be going forward willingly and calmly	•	Ride an accurate workout showing even circles of correct
•	Should show clear transitions when asked	•	shape Ride serpentines of 3 loops at
•	Halt should be square in front		trot and canter (with a changes of lead through trot)
•	May perform transitions into and out of halt through walk (2 strides max)	•	Show lengthening of stride in trot and canter
•	Attempt to show correct bend and flexion	•	Execute hand gallop Ride without stirrups
•	Occasionally show some impulsion	•	Ride correct canter depart
•	Ride with reasonably steady seat, hands and legs		
•	Reasonably steady sitting trot		
•	Good understanding of ring craft		
•	Reasonably good standard of presentation		

# **LEVEL 3 - SHOWJUMPING**

#### PREAMBLE:

The Rider should show a balanced light seat throughout course giving an overall impression of calmness, control and safety.

Half point assessments must be applied in the case of borderline assessments.

19.	The Rider Should Be Able To	20.
•	maintain rhythm in canter	<ul> <li>show correct eye contact</li> </ul>
•	show correct action of hands over obstacles, ie. maintain contact	<ul> <li>ensure the horse flows around the course in a calm, confident manner</li> </ul>
•	adjust the length of stride throughout the course, as appropriate	<ul> <li>show a Combination that is well balanced around course and over fences</li> </ul>
•	show a correct approach to obstacles	<ul> <li>show a good knowledge of the Rules</li> </ul>
•	attempt to obtain correct canter leads	
•	show correct striding for combinations	

# **LEVEL 3 - CROSS-COUNTRY**

21.	The Rider Should Be Able To	22.
•	demonstrate a controlled, strong canter in hand	<ul> <li>show correct re-presentation to a fence if a refusal or run out</li> </ul>
•	demonstrate an independent seat, good two point position between fences	<ul> <li>ensure correct fitting of cross- country gear</li> </ul>
•	demonstrate confidence and understanding of how to approach different obstacles and terrain	<ul> <li>show the correct use of the whip, when required</li> <li>shorten or lengthen stride for combinations eg. bounces,</li> </ul>
•	negotiate an appropriate water obstacle	<ul><li>sunken road, etc</li><li>show a good knowledge of the</li></ul>
•	show the correct action of hands over obstacles	Rules
•	know when their horse is becoming tired or stressed	

# LEVEL 2 - DRESSAGE

#### PREAMBLE:

The Rider has an independent seat with the correct use of the aids to influence the horse. The horse will be working forward in a regular rhythm with developing impulsion. Acceptance of the contact will be established with the horse working consistently on the bit, showing improved balance, self carriage and throughness. Transitions will be smooth and flowing, at the markers, clearly showing the changes of pace. Correct bend and flexion would be shown on circles and corners.

When *commencing* this level, combinations:

- Should be able to show a clear lengthening of pace in trot and canter
- Should show the horse attempting to work from behind into a steady contact, showing a willing acceptance of the bridle
- Should show transitions at the markers
- Should clearly show change of pace, smooth, forward and flowing transitions
- Should show correct bend and flexion at times on circles and corners

23.	Level 2 Expectations	24.	Level 2 Requirements
•	Development of pushing power	•	Show lengthened strides in trot
•	Reliably on the bit with better balance and throughness	•	
•	All transitions should be smooth		halt direct from trot
	and at the marker	•	Halt, immobile and attentive for 5 seconds
•	The halt should be square front and back, the horse should be immobile and attentive to the	•	Canter showing correct depart on a straight line
	Rider	•	Ride straight and accurately on
•	Corners should be ridden on a		the centre line
•	10m diameter curve	•	Ride correct circles, 10m at trot and 15m at canter
•	Execute change of canter lead through trot (3 to 5 strides)	•	Ride 3 loop serpentine at trot
•	Maintain effective sitting trot	•	Ride leg yield in trot
•	Paces and rhythm should be regular and balanced	•	Stretch forward and downward in trot
•	Correct flexion and bend to be	•	Canter loop off long side
	shown at all times	•	Change of lead through trot

# LEVEL 2 - SHOWING

#### PREAMBLE:

The Assessor should take into consideration the quality (ie, conformation, presence and general condition) of the horse when assessing the Combination.

The Rider has an independent seat, correct positional lines and will use correct aids to influence the horse. The horse will be working consistently from behind into a steady contact showing willing acceptance of the bridle. Transitions will be smooth and flowing, and clearly show changes of pace. Correct bend and flexion would be shown. Should be able to show a clear, balanced lengthening of pace in trot and canter.

<ul> <li>All transitions should be smooth</li> <li>The halt should be square front and back, the horse should be immobile and attentive to the Rider</li> <li>Show correct bend and flexion</li> <li>Maintain an effective sitting trot</li> <li>Paces and rhythm should be regular and balanced</li> <li>High standard of presentation</li> <li>High standard of ringcraft</li> <li>Presenting as confident and capable</li> <li>Balanced sitting trot</li> <li>Ride an accurate workout</li> <li>Execute change of canter through trot</li> <li>Show lengthened strides in and canter</li> <li>Show lengthened strides in and canter</li> <li>Execute transitions in and halt direct from trot</li> <li>Canter showing correct de on a straight line</li> <li>Ride a serpentines at trot canter (with changes of le through trot)</li> <li>Rein back</li> <li>Hand gallop</li> </ul>	
<ul> <li>Rider</li> <li>Show correct bend and flexion</li> <li>Maintain an effective sitting trot</li> <li>Paces and rhythm should be regular and balanced</li> <li>High standard of presentation</li> <li>High standard of ringcraft</li> <li>Presenting as confident and capable</li> <li>and canter</li> <li>Execute transitions in and halt direct from trot</li> <li>Canter showing correct de on a straight line</li> <li>Ride a serpentines at trot canter (with changes of le through trot)</li> <li>Rein back</li> <li>Hand gallon</li> </ul>	ut er lead
Ride without stirrups	nd out of depart ot or

# LEVEL 2 - SHOWJUMPING

#### PREAMBLE:

Calm, balanced, controlled. Independent seat adjusted across 2/3 point position as required, with correct rein release. Ability to jump showing correct leads, good lines, angling of fences when appropriate and safe.

Half point assessments must be applied in the case of borderline assessments.

27.	The Rider Should Be Able To	28.
•	negotiate tight turns, and non- standard combinations ride good lines, show correct leads to and from obstacles show correct eye contact	<ul> <li>ride accurate shortened/lengthened strides between related fences</li> <li>show an excellent knowledge of Rules</li> </ul>

# LEVEL 2 - CROSS-COUNTRY

29.	The Rider Should Be Able To	30.
•	demonstrate good control of the horse at appropriate cross- country speed	<ul> <li>know if their horse is becoming tired or stressed</li> <li>be able to show correct re-</li> </ul>
	lengthen/shorten stride approaching obstacles as required demonstrate independent seat	<ul> <li>presentation to a fence if a refusal or run out occurs</li> <li>ensure correct fitting of cross-country gear</li> </ul>
	and hands	<ul> <li>show the correct use of the</li> </ul>
	confidently negotiate combinations and testing obstacles	whip, when required
•	present the horse looking fit and athletic	

# LEVEL 1 - DRESSAGE

#### PREAMBLE

The horse is working reliably on the bit, in self carriage, showing submission with more balance, engagement, suppleness, impulsion and straightness. The horse should be accepting more weight on the hindquarters with an uphill tendency and defined transitions. The rider should have a deep, independent seat with correct use of the aids to influence the horse. Horse and rider should appear in harmony at all times.

When *commencing* at this level, combinations:

- May not be able to maintain consistent collected paces when required
- Should be able to attempt medium paces without loss of rhythm or balance

31.	Level 1 Expectations	32.	Level 1 Requirements
•	All transitions should be performed fluently, at the marker,	•	Show medium and collected paces at trot and canter
	with the horse balanced and engaged	•	Execute simple changes (with 3 to 5 steps of walk)
•	Corners should be ridden on a 8m diameter curve	•	Execute canter depart from walk
	Reliably on the bit showing self carriage	•	Execute rein back
•		•	Ride counter-canter
•	Consistently engaged with an uphill tendency	•	Ride circles 10m at trot and canter
•	Suppleness and straightness	٠	Execute shoulder-in and travers
•	Confidence and harmony in the work	•	Ride serpentines – 3 & 4 loop at trot, 3 loop at canter
		٠	Inside rein release in canter

# LEVEL 1 - SHOWING

#### PREAMBLE

The Assessor should take into consideration the quality (ie, conformation, presence and general condition) of the horse when assessing the Combination

The horse is on the bit at all times, showing impulsion and submission. The rider should have a deep, independent seat with correct use of the aids to influence the horse. Horse and Rider should appear in harmony at all times. Should be able to show collected and medium trot and canter.

33.	Level 1 Expectations	34.	Level 1 Requirements
•	All transitions should be performed fluently, with the	•	Perform an accurate smooth workout
	horse balanced and engaged	•	Show medium at trot and canter
•	<ul> <li>Balanced sitting trot</li> </ul>	<ul> <li>Execute all transitions fluently when asked</li> </ul>	
•	High standard of presentation		when asked
•	High standard of ringcraft	•	Execute canter depart from walk
•	Presenting as confident and capable.	•	Rein back
		•	Ride without stirrups
		•	Ride serpentines – 3 & 4 loop at trot, 3 loop at canter with change of lead through walk
		•	Hand gallop

# LEVEL 1 - SHOWJUMPING

#### PREAMBLE:

Appropriate seat between and over the obstacles, ie. seat, hands, legs, upper body. This Combination gives the impression of confidence and judgment whilst completing a smooth and safe round.

35.	The Rider Should Be Able To	36.
•	have complete control of the horse's paces and striding	<ul> <li>ride "against the clock", angling obstacles and negotiating tight</li> </ul>
•	negotiate any difficult combinations by shortening and lengthening canter stride.	<ul> <li>turns</li> <li>execute flying changes to change canter leads</li> </ul>
•	show the correct approach to the obstacles, good rhythm and tempo	<ul> <li>demonstrate an extensive knowledge of Rules, combination distances</li> </ul>

# LEVEL 1 - CROSS-COUNTRY

A confidant, safe and happy team who looks to the challenge, with a thorough knowledge of how to ride any obstacle fairly presented. The horse must be fit and athletic with a bold, neat jumping style whilst travelling fast cross-country

# **ADVANCED - DRESSAGE**

#### PREAMBLE:

Horse and rider in harmony, with the rider able to influence the horse via the correct use of the aids. The horse should now be showing increased engagement, impulsion and balance. The horse is carrying more weight on its hindquarters resulting in more consistent collection, clear transitions within paces and a higher degree of submission and harmony. Lateral work should be established.

37.	Advanced Level Expectations	38.	Advanced Level Requirements
•	All transitions should be performed fluently, at the marker, with the horse balanced and engaged	•	Show collected, medium and extended paces
		<ul> <li>Execute simple changes (with 3 to 5 steps of walk)</li> </ul>	Execute simple changes (with 3 to 5 steps of walk)
•	Corners should be ridden on a 8m diameter curve	•	Execute canter depart from walk
•		•	Execute rein back
		•	Ride counter-canter
		•	Execute shoulder-in and travers
		•	Ride serpentines – 3 & 4 loop at trot, 3 loop at canter
		•	Perform half pirouette at walk
		٠	Execute flying changes
		•	Execute half pass in trot and canter
		•	Ride circles 8 m at trot
		•	Ride half circles 8m at canter

# ADVANCED SHOWJUMPING

PREAMBLE:

Appropriate seat between and over the obstacles, ie. seat, hands, legs, upper body. This Combination gives the impression of confidence and judgment whilst completing a smooth and safe round.

39.	Advanced Level Requirements	40.
•	have complete control of the horse's paces and striding	<ul> <li>ride "against the clock", angling obstacles and negotiating tight</li> </ul>
•	negotiate any difficult combinations by shortening and lengthening canter stride.	<ul> <li>turns</li> <li>execute flying changes to change canter leads</li> </ul>
•	show the correct approach to the obstacles, good rhythm and tempo	<ul> <li>demonstrate an extensive knowledge of Rules, combination distances</li> </ul>

# **ADVANCED LEVEL - SHOWING**

#### PREAMBLE

The Assessor should take into consideration the quality (ie, conformation, presence and general condition) of the horse when assessing the Combination as well as the previous competition experience of the horse or rider. Where a combination has placed  $1^{st} - 3^{rd}$  at Royal/State level or competed at National level in open ridden/hunter or >18 years rider classes, the combination should be assessed at Advanced level.

The horse is on the bit at all times, showing impulsion and submission. The rider will have a deep, independent seat with correct use of the aids to influence the horse. Horse and Rider should appear in harmony at all times. Will be able to show collected and medium trot and canter.

41.	Level 1 Expectations	42.	Level 1 Requirements
•	All transitions should be performed fluently, with the	•	Perform an accurate smooth workout
	horse balanced and engaged	•	Show medium at trot and canter
•	Balanced sitting trot	•	Execute all transitions fluently
•	High standard of presentation		when asked
•	High standard of ringcraft	•	Execute canter depart from walk
•	Presenting as confident and capable.	•	Rein back
		•	Ride without stirrups
		•	Ride serpentines – 3 & 4 loop at trot, 3 loop at canter with change of lead through walk
		•	Hand gallop
		•	Flying changes

# Appendices

- 1 Official Listing of Level Assessors
- 2 Level Assessment Form
- 3 "Spot" Level Assessment Form
- 4 Appeal/Re-Levelling Form
- 5 Query Of Assessment Form

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